This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problems Mailbox.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:
A61K 49/00
A1 (11) International Publication Number: WO 92/17212
(43) International Publication Date: 15 October 1992 (15.10.92)

GB

(21) International Application Number: PCT/EP92/00715

(22) International Filing Date: 28 March 1992 (28.03.92)

(30) Priority data: 9106673.8 28 March 1991 (28.03.91)

(71) Applicant (for GB only): HOLMES, Michael, John [GB/GB]; Frank B. Dehn & Co., Imperial House, 15-19 Kingsway, London WC2B 6UZ (GB).

(71) Applicant (for all designated States except US): NYCOMED AS [NO/NO]; Nycoveien 2, P.O. Box 4220 Torshov, N-0401 Oslo 4 (NO).

(72) Inventors; and...

(75) Inventors/Applicants (for US only): KLAVENESS, Jo [NO/NO]; Skøyen terasse 15, N-0276 Oslo (NO). PRIEBE, Hanno [NO/NO]; Johan Castbergs vei 56, N-0673 Oslo (NO). RONGVED, Pål [NO/NO]; Hovdens vei 13, N-1454 Hellvik (NO). STUBBERUD, Lars [NO/SE]; Karlskovsvägen 7, S-151 37 Södertälje (SE).

(74) Common Representatives: HOLMES, Michael, John et al.; Frank B. Dehn & Co., Imperial House, 15-19 Kingsway, London WC2B 6UZ (GB).

(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), NO, SE (European patent), US.

Published

With international search report.

(54) Title: IMPROVEMENTS IN OR RELATING TO CONTRAST AGENTS

(57) Abstract

Ultrasound contrast agents having microbubbles of gas or a gas precursor encapsulated by non-proteinaceous crosslinked or polymerised amphiphilic moieties, e.g. in the form of micelles, exhibit good stability in vivo upon administration and may if desired incorporate biodegradable linkages so as to possess particular desired levels of biodegradability.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

WO 92/17212 PCT/EP92/00715

"Improvements in or relating to contrast agents"

This invention relates to novel contrast agents, more particularly to new gas-containing or gas-generating contrast agents of use in diagnostic ultrasonic imaging.

10 It is well known that ultrasonic imaging comprises a potentially valuable diagnostic tool, for example in studies of the vascular system, particularly in cardiography, and of tissue microvasculature. A variety of contrast agents has been proposed to enhance the 15 acoustic images so obtained, including suspensions of solid particles, emulsified liquid droplets, gas bubbles and encapsulated gases or liquids. It is generally accepted that low density contrast agents which are easily compressible are particularly efficient in terms 20 of the acoustic backscatter they generate, and considerable interest has therefore been shown in the preparation of gas-containing and gas-generating systems.

Initial studies involving free gas bubbles generated in vivo by intracardiac injection of physiologically acceptable substances have demonstrated the potential efficiency of such bubbles as contrast agents in echocardiography; such techniques are severely limited in practice, however, by the short lifetime of the free bubbles. Interest has accordingly been shown in methods of stabilising gas bubbles for echocardiography and other ultrasonic studies, for example using emulsifiers, oils, thickeners or sugars.

WO 80/02365 discloses the use of gelatinencapsulated gas microbubbles for enhancing ultrasonic

35

images. Such microbubbles do not, however, exhibit adequate stability at the dimensions preferred for use in echocardiography (1-10 μ m) in view of the extreme thinness of the encapsulating coating.

5

10

15

20

25

30

35

US-A-4774958 discloses the use of microbubble dispersions stabilised by encapsulation in denatured protein, e.g. human serum albumin. Such systems permit the production of microbubble systems having a size of e.g. 2-5 μm but still do not permit efficient visualisation of the left heart and myocardium.

EP-A-0327490 discloses, inter alia, ultrasonic contrast agents comprising a microparticulate synthetic biodegradable polymer (e.g. a polyester of a hydroxy carbonic acid, a polyalkyl cyanoacrylate, a polyamino acid, a polyamide, a polyacrylated saccharide or a polyorthoester) containing a gas or volatile fluid (i.e. having a boiling point below 60°C) in free or bonded form. Emulsifiers may be employed as stabilisers in the preparation of such agents, but such emulsifiers do not chemically interact with the polymer.

We have now found that particularly effective ultrasonic contrast agents may be obtained by encapsulating gas bubbles or gas generating systems with polymers containing chemically linked surface active, i.e. amphiphilic, moieties. Thus the surface active properties of the amphiphilic groups stabilise the microbubble system by reducing surface tension at the gas-liquid interfaces, e.g. by forming monolayers or one or more bilayers (alternatively known by the terms micelles, vesicles, liposomes and niosomes) at said interfaces, while the linking of the groups through the polymer system generates further stability. Flexibility of the encapsulating materials also enhances the image density afforded by such contrast agents. For

35

simplicity the terms "vesicle" is used herein to denote all such microbubble structures prior to or after crosslinking or polymerisation. It should be noted that under some conditions irregularly shaped structures may be formed, e.g. microtubules which may join with or even entrap spherical structures.

Thus according to one aspect of the present invention there are provided contrast agents for use in diagnostic ultrasound studies comprising microbubbles of gas or a gas precursor encapsulated by non-proteinaceous crosslinked or polymerised amphiphilic moieties.

The term "crosslinked" is used herein to denote

that the amphiphilic moieties are linked to each other
to form a polymeric structure which may incorporate one
or more polymer systems (including copolymers).

the invention is that they may be designed to a particular desired level of biodegradability in vivo by selecting appropriate biodegradable linkages at appropriate positions. It will be appreciated that in order to be effective the contrast agents must be stable throughout the ultrasonic examination but are preferably metabolised or removed safely from the circulation system shortly thereafter. Contrast agents in accordance with the invention should thus preferably have a half-life in vivo of not more than 48 hours, for example 1-12 hours.

Biodegradable linkages which may be present in contrast agents according to the invention include amide, imide, imine, ester, anhydride, acetal, carbamate, carbonate, carbonate ester and disulphide groups. At least one such group should preferably be present in the amphiphilic moiety, in the hydrophilic

15

20

25

30

35

and/or lipophilic portion; it may be advantageous to position the group in the hydrophilic part to facilitate enzymic interaction in vivo. It is further preferred that biodegradable linkages be present in the polymer backbone to ensure substantial breakdown of the polymer in the body.

Any biocompatible gas may be employed in the contrast agents of the invention, for example air, nitrogen, oxygen, hydrogen, nitrous oxide, carbon dioxide, helium, argon, sulphur hexafluoride and low molecular weight optionally fluorinated hydrocarbons such as methane, acetylene or carbon tetrafluoride. The gas may be free within the microbubble, advantageously in the form of a gas-filled "microballoon" since the echogenicity of such products may be enhanced by virtue of their relatively flexible nature. Alternatively the gas may be trapped or entrained within a containing substance. The term "gas" as used herein includes any substances in gaseous form at 37°C.

Gas precursors include carbonates and bicarbonates, e.g. sodium or ammonium bicarbonate and aminomalonate esters. The term "gas precursor" as used herein also embraces substances such as volatile hydrocarbons which may initially be encapsulated but thereafter are partially or completely removed from the vesicles, e.g. by evaporation or freeze-drying, to be replaced by gas.

For applications in echocardiography, in order to permit free passage through the pulmonary system and to achieve resonance with the preferred imaging frequency of about 0.1-15 MHz, it may be convenient to employ microbubbles having an average size of 0.1-10 μ m, e.g. 1-7 μ m. Substantially larger bubbles, e.g. with average sizes of up to 500 μ m, may however be useful in other applications, for example gastrointestinal imaging or

investigations of the uterus or Fallopian tubes.

If desired the microbubbles may incorporate particulate stabilisers, for example inorganic materials such as silica or iron oxide which are only partially wetted by the solvent system employed, e.g. having a particle size of 1-500 nm. Colloidal silica having a particle size of 5-50 nm may advantageously be employed for this purpose.

10

30

5

Polymer systems which may be employed in the contrast agents of the invention include carbohydrates such as dextrans and starches, chitin, chitosan, carboxymethylchitosan, alginate, hyaluronic acid, polyacrylamides, polycyanoacrylates, 15 hydroxyalkylpolycyanoacrylates, polyhydroxy acids such as polylactic acids, polyhydroxybutyrates, polyglycolic acids, polylactide-glycolides, polyorthoesters, polyanhydrides, polyurethanes, polyester imides, polyimides, polyacetals, poly-epsilon-caprolactones, 20 polydioxanones, polyaminotriazoles, poly(amideenamines), poly(amide-urethanes), polyphosphazenes, polyvinyl alcohols, organo-polysiloxanes, poly(enolketones) and copolymers of these materials, modified as 25 necessary to introduce hydrophilic or lipophilic moieties.

The microbubbles according to the invention may be prepared by forming a fluid dispersion of vesicles comprising a gas or gas precursor encapsulated by amphiphilic material followed by crosslinking or polymerisation of the amphiphilic material.

The vesicles will normally comprise a substantially spherical monolayer or multilayer of the amphiphilic material. The hydrophilic moieties of the amphiphiles will be physically associated to form a contiguous layer

PCT/EP92/00715

WO 92/17212

5

10

15

20

25

30

35

while the lipophilic moieties will also form a layer which may be inside or outside the hydrophilic layer. In bilayers, two layers of the amphiphilic material may be superimposed; thus, for example, a first layer of amphiphilic material may form in which the lipophilic groups are on the outside. A second layer of amphiphilic material may then overlay the first layer with the lipophilic groups adjacent to the lipophilic groups of the first layer and the hydrophilic groups on the outside. Similarly, a bilayer may have the lipophilic groups on the outside and inside and the hydrophilic groups sandwiched between.

Where the fluid in which the vesicles are dispersed is polar, for example aqueous, the hydrophilic groups of the vesicles will tend to be on the outside of the micelles and the lipophilic groups will be on the inside forming a monolayer. On the other hand, if the dispersing fluid is apolar, the lipophilic groups will be on the outside, particularly if the encapsulated material is hydrophilic, e.g. a gas precursor or a solid material containing absorbed or entrained gas, possible in association with a polar liquid. Bilayers may form when the encapsulated material is of the same type, i.e. hydrophilic or lipophilic, as the dispersing fluid.

The amphiphiles used in accordance with the present invention will carry functional groups permitting crosslinking or polymerisation. These may in some instances be groups imparting hydrophilic or lipophilic character or they may be independent of the amphiphilic groupings.

The amphipiles may be considered in three categories:

1. The amphiphiles may carry at least two simple reactive groups such as hydroxyl, amino or carboxyl

10

groups which are capable of reacting with polyvalent reactive monomers or preformed polymers. For example, if the amphiphile carries two hydroxyl groups (in the hydrophilic moiety), a dicarboxylic acid such as suberic acid may be reacted with the vesicles after encapsulation of the gas or gas precursor to provide a crosslinked or polymerised structure. Diamino-amphiphiles may similarly be reacted with dicarboxylic acids while dicarboxylic amphiphiles may be reacted with diamines or diols. Additional crosslinking may be provided by trifunctional reagents. A catalyst will normally be present to assist reaction.

The crosslinking agent may itself be amphiphilic so

that the vesicle will form with the lipophilic and
hydrophilic groups of the first amphiphile and the
amphiphilic crosslinking agent in alignment, whereupon
crosslinking between the reactive functional groups may
be initiated.

20

25

30

35

As indicated above, it is particularly advantageous for the polymerised or crosslinked amphiphile to be biodegradable, especially into relatively simple water soluble units. In the case of the ester and amide bonds referred to above, esterase and amidase enzymes will commonly be available in the vascular system and can degrade the encapsulating material back to separate amphiphile molecules and the diamine, diol or diacid reagents which under physiological conditions will not recombine.

If desired, even more biolabile crosslinking groups such as carbonate ester groups may be introduced e.g. using orthoester crosslinking agents. Another useful class of crosslinking agents have the formula (I)

$$A^{1}.R^{8}.(Y)_{p}.CO.O.C(R^{1}R^{2}).O.CO.(Z)_{p}.R^{9}.A^{2}$$
 (I)

20

(where Y and Z, which may be the same or different, are -0-, -S- or $-NR^3-$;

R¹ and R², which may be the same or different, are hydrogen atoms or carbon-attached monovalent organic groups or together represent a carbon-attached divalent organic group;

 \mathbb{R}^3 is a hydrogen atom or an organic group; the symbols n, which may be the same or different, are zero or 1;

10 R⁸ and R⁹, which may be the same or different are divalent organic groups, for example alkylene or alkylidene groups having 1-12 carbon atoms; and A¹ and A² are functional groups, for example reactive with hydroxyl, amino or carboxyl groups), since the crosslinking groups so introduced contain units of formula

 $-(Y)_n$.CO.O.C(R^1R^2).O.CO.(Z)_n-

(where Y, Z, each n, R^1 and R^2 are as defined above) which are particularly readily degraded by common esterases, while exhibiting stability in the absence of enzymes.

 R^1 , R^2 and R^3 may each be a hydrocarbyl or heterocyclic group, for example having 1-20 carbon atoms, e.g. an alkyl or alkenyl group (preferably having 25 up to 10 carbon atoms), a cycloalkyl group (preferably having up to 10 carbon atoms), an aralkyl group (preferably having up to 20 carbon atoms), an acyl group (preferably having up to 20 carbon atoms) or a heterocyclic group having up to 20 carbon atoms and one 30 or more heteroatoms selected from O,S and N; such a hydrocarbyl or heterocyclic grouping may carry one or more functional groups such as halogen atoms or groups of the formulae $-NR^4R^5$, $-CONR^4R^5$, $-OR^6$, $-SR^6$ and $-COOR^7$, where R4 and R5, which may be the same or different, are 35 hydrogen atoms, acyl groups or hydrocarbyl groups as defined for R1 and R2; R6 is a hydrogen atom or an acyl

group or a group as defined for R^1 or R^2 and R^7 is a hydrogen atom or a group as defined for R^1 or R^2 ; where R^1 and R^2 represent a divalent grouping, this may for example be an alkylene or alkenylene group (preferably having up to 10 carbon atoms) which may carry one or more functional groups as defined above. In general R^1 and R^2 are preferably hydrogen or small groups such as C_{1-4} alkyl groups.

10 2. The amphiphile may contain polymerisable groupings which can be caused to polymerise after vesicle formation. Such polymerisable groupings may, for example, include unsaturated lipophilic chains, e.g. alkenyl or alkynyl groupings containing up to 50 carbon atoms, for example 10-30 carbon atoms, such as oleyl or 15 linoleyl groups or groups containing diacetylene, acryloyl or methacryloyl groupings. Polymerisation of such groupings will, in general, yield hydrocarbon backbone polymers the backbones of which are not readily biodegradable, although such polymers may be designed so 20 that the backbone residue resulting from biodegradation is water-soluble, e.g. by virtue of the presence of hydrophilic substituents such as carboxyl or hydroxyl groups, to enhance its dispersibility. The chain length 25 of such polymers is in general preferably such that their molecular weight does not exceed 40,000.

Where a greater degree of biodegradability is required, it may be preferable to avoid formation of polymeric hydrocarbon chains which cannot readily be degraded and to effect polymerisation or crosslinking exclusively through biodegradable groups such as ester, carbonate, carbamate, amide or imide bonds of the type referred to above. In general, the functional groups leading to such bonds will be hydrophilic and thus lead to crosslinking between the hydrophilic parts of the amphiphiles.

PCT/EP92/00715

5

10

15

20

25

30

However, polymerisation of lipophilic hydrocarbon chains may be used to yield a biodegradable polymer if the amphiphile comprises a biodegradable hydrophilic moiety carrying two such chains; where the lipophilic chains of adjacent amphiphile molecules become crosslinked, e.g. via unsaturated carbon-carbon bonds, the extended lipophilic groupings so formed will be separated by the biodegradable hydrophilic groups; on biodegradation, the polymeric structure will thus break up into relatively small lipophilic molecules carrying the residues of the degraded hydrophilic moieties.

A soluble amphiphilic polymer carrying appropriate functional groups may be further polymerised or crosslinked after vesicle formation. Such substances include polyamino acids and carbohydrates carring lipophilic groups, as well as low molecular weight polyesters, polyamides etc carrying appropriate groups providing amphiphilic character. Thus, for example, hydrophilic polymers, such as those listed above, may be provided with lipophilic chains, e.g. C10-30 alkyl, alkenyl or alkynyl groups, to provide suitable amphiphiles for use in accordance with the invention. Chemical methods for the attachment of such lipophilic chains include partial esterification of the hydroxyl groups of polyhydroxy acids, salt formation of anionic surfactants on the amino groups of chitosan or covalent derivatisation of such groups, and attachment of hydrophobic groups to carbohydrates or cyclodextrins by way of ester bonds.

The soluble polymer for further polymerisation may also be an amphiphile polymerised or crosslinked in accordance with (1) or (2) above.

35

Polymerisable or crosslinkable amphiphiles which may be used in accordance with the invention thus

include compounds of the general formula (II).

$$[(X)_p(R^{10})_q]B_p \qquad (II)$$

5 where X is an anionic, cationic or non-ionic hydrophilic moiety;

R¹⁰ is a lipophilic group;

B is a group capable of polymerisation or cross-linking;

- p and q are integers; and
 r is zero or, when neither X or R¹⁰ is
 capable of polymerisation or crosslinking, is an
 integer.
- The groups X and R¹⁰ may be joined in various ways.

 Thus, for example, a hydrophilic group X may carry one or several lipophilic groups R¹⁰ or a lipophilic group R¹⁰ may carry one or several hydrophilic groups X. One or more hydrophilic groups X may also join separate

 20 lipophilic groups R¹⁰ as long as the amphiphile can adopt a configuration in which the hydrophilic and lipophilic moieties of adjacent molecules are aligned.

Similarly, the group(s) B (where present) may be attached to one or more of the groups X and R^{10} .

To provide or enhance biodegradability, one or more biodegradable groupings W may connect the groups X, \mathbf{R}^{10} and B.

30

35

The group X may, for example, be a quaternary ammonium grouping $-N(R^{11})_3V$ where the groups R^{11} (which may be the same or different) may be, for example, alkyl, aralkyl or aryl groups containing, for example, up to 20 carbon atoms, and V is an anion. It will be appreciated that one or more of the groups R^{11} may be a lipophilic group R^{10} .

Other useful hydrophilic groups X include, hydroxyl, carboxylate, amide, phosphate, sulphate and sulphonate groups. Further examples of hydrophilic groups X include:

5

- O.CH₂.CH₂.N⁺(CH₃)₃ (choline) - O.CH₂.CH₂.N⁺H₃ (ethanolamine) - O.CH(NH₃⁺).COO⁻ (serine) - O.CH₂.CH(OH).CH₂OH (glycerol)

- hexoses and pentoses such as inositol.

10

15

20

25

30

35

The group R¹⁰ may, for example, be a saturated or unsaturated, straight or branched hydrocarbon chain, which may contain, for example, 6-50 carbon atoms and may be interrupted by one or more biodegradable groups W and may carry one or more functional groups permitting chains R¹⁰ on adjacent amphiphiles to crosslink to form a biodegradable group. Useful groups R¹⁰ include oleyl and linoleyl groups and chains containing diacetylene groupings.

The group(s) B may be, for example, orthoester groups which form carbonate ester linkages with hydroxyl groups, or hydroxyacid groups (or separate hydroxyl and carboxyl groups) which form ester linkages.

It will be appreciated that the hydrophilic group X may comprise a moiety which is not itself directly responsible for hydrophilic properties, as in the case of a group R¹¹ of a quaternary ammonium grouping as defined above, which may for example be a lower alkyl group too small to impart lipophilic character; such groups may also form part of the connection between the groups X and R¹⁰. In other words, there may be transitional regions between groups X and R¹⁰ which are not strictly either lipophilic or hydrophilic in themselves but can be regarded as part of either X or

R¹⁰.

Thus, in a special case of the amphiphiles of formula (II), the groups X, R10 and B may be attached to a preformed polymer which may be regarded as part of X 5 or of R¹⁰ according to its chemical and physical character. Such a polymer may be a known hydrophilic polymer on to which lipophilic groups (as discussed above) have been attached, or a lipophilic polymer, e.g. a polyolefin, carrying hydrophilic groups. 10 Alternatively, such a polymer may be obtained by partial polymerisation of an amphiphile of formula (II). such cases, the preformed polymer should be sufficiently soluble to permit vesicle formation and should be so 15 functionalised as to permit covalent, ionic or coordinate crosslinking to stablise the vesicles.

Particularly useful monomeric amphiphiles include cyanoacrylate esters carrying lipophilic esterifying groups (which may also have hydrophilic moieties). Thus, for example, US 4329332 describes the micellar polymerisation of lower alkyl cyanoacrylates, a technique which may be extendable to the polymerisation of acrylates of the formula CH₂=C(CN).CO.O.(C₆₋₂₀ aliphatic). Similarly, a di-acrylate of the formula

 $CH_2 = CH \cdot CO \cdot O \cdot (CH_2 \cdot CH_2 \cdot O)_{98} \cdot (CH_2 \cdot CH (Me) \cdot O)_{67} \cdot (CH_2 \cdot CH_2 \cdot O)_{98} \cdot CO \cdot CH = CH_2$

has been used by Ping et al (Int. J. Pharm, 61 (1990) 30 79-84). Corresponding cyanoacrylates may also be used.

Amphiphilic materials of use in accordance with the invention include the following classes of substances derivatised with lipophilic groups:-

polyglycerol,
polyoxyethylene glycol and ethers thereof,

polyoxyethylene derivatives of steroids,
glyccsides,
galactosides,
hydroxyacids or polyhydroxyacids (including
carboxylic, phosphonic, sulphonic and sulphinic
acids),
carbohydrates and derivatives thereof,
aminoalcohols and derivatives thereof,
cyanoacrylates,
acrylamides, and
hydroxyamides.

Polymerisable Amphiphiles

A number of classes of useful polymerisable amphiphiles are listed below:

- 1. $CH_2(OB_1) \cdot CH(OB_2) \cdot CH_2 \cdot O \cdot PO(O^-) O (CH_2) \cdot 2N^+ (CH_3) \cdot 3$
- where B, and B, may be

$$-\text{CO-(CH}_2)_8$$
-C=C-C=C-(CH₂)_n-CH₃

(where n is an integer e.g. 9, 12 or 13) as
described in WO 85/04326. Such compounds can be made by
conventional phospholipid chemistry as described in
Hirth et al (Helv.Chem.Acta 40, 1957, 1928) and Pfeiffer
et al (J.Org.Chem. 35, 1970, 221).

30 Such compounds may thus be prepared by procedures described in EP-A-0032622. The zwitterionic group may be introduced by subjecting the appropriate phosphonic or phosphinic acid or an esterifiable derivative thereof to reaction with glycerol or an esterifiable derivative thereof. The groups B₁ and B₂ may be introduced into the molecule by esterification using the carboxylic acid of B₁ and B₂ or an ester-forming derivative thereof. These

10

15

reactions can be carried out between the glycerol or derivatives thereof on the one hand, and the carboxylic acid and the phosphorus ester on the other, either simultaneously or optionally in steps. Other known methods for the synthesis may equally well be used.

Polymerisation of these compounds may, for example, be obtained by irradiation at 254 nm using a xenon lamp after formation of gas containing liposomes or formation of monolayers of the amphiphiles at the gas/liquid interface.

- 2. Phospholipids such as phosphodiglycerides and sphingolipids carrying polymerisable groups.
- 3. Unsaturated oils having hydrophilic groups such as corn oil, sunflower seed oil, soybean oil, safflower oil, peanut oil, cottonseed oil and olive oil.
- 4. Saturated and unsaturated fatty acid derivatives with hydroxyl groups, for example castor oil and ergot oil which are triglycerides of d-12-hydroxyoleic acid.
- 5. Compounds as described in "Polymerised Liposomes"
 25 (Technical Insights Inc 1988) and Hub et al (J.
 Macromol.Sci.Chem. Al5,(5),1981,701-715). These may
 have the structures:

30
$$CH_{2}-O-C-(CH_{2})_{n}-SH$$
 $CH_{2}-O-C-(CH_{2})_{m}-C = C-C = C-(CH_{2})_{n}-CH_{3}$

0 $CH-O-C-(CH_{2})_{n}-SH$ $CH-O-C-(CH_{2})_{m}-C = C-C = C-(CH_{2})_{n}-CH_{3}$

35 $CH-O-C-(CH_{2})_{n}-SH$ $CH-O-C-(CH_{2})_{m}-C = C-C = C-(CH_{2})_{n}-CH_{3}$

0 CH_{3} $CH_{2}-O-P-CH_{2}-CH_{2}-N-CH_{3}$ $CH_{2}-O-P-O-CH_{2}-CH_{2}-N-(CH_{3})_{3}$

40 $CH_{2}-O-C-(CH_{2})_{m}-C = C-C = C-(CH_{2})_{n}-CH_{3}$

6. Compounds of the formula:

25

$$[CH_3-(CH_2)_{12}-C=C-C=C-(CH_2)_8-CO-L-(CH_2)_2]_2M$$

where L and M may be -0-, -S- or $-NR^{12}-$ (where R^{12} is H or an alkyl group), for example the compounds in which

30

$$L = M = -0-; L = -0-, M = -N(CH_3)-; L = -NH-, M = -0-;$$

$$L = -O-$$
, $M = -N(CH_3)_2 - Br$ and $L = -O-$, $M = -N(CH_2.CH_2.SO_3H) -$

35

40

45

Such compounds may be prepared by reacting a reactive derivative of hexacosane-10,12-diynoic acid (e.g. the acid chloride) with the appropriate compound (HLCH₂CH₂)₂M in dry chloroform at 0°C in the presence of pyridine, if necessary followed by quaternisation.

Synthesis of hexacosane-10,12-diynoic acid is described by Singh et al (Polym.Prep.: Am.Chem.Soc. Div.Polym.Chem; 26 (2), 1985, 184-5). The acid chloride may be prepared by reaction with oxalylchloride.

7. Compounds as described by Paleos (Chem.Soc.Rev. 14, 1985, 45-67), for example of the following structures:

$$CH_{3}-(CH_{2})_{9}-C=C-C=C-(CH_{2})_{9}-O \qquad O$$

$$///$$
25
$$P$$

$$CH_{3}-(CH_{2})_{9}-C=C-C=C-(CH_{2})_{9}-O \qquad OH$$

35

8. Esters of α-amino fatty acids which may be self condensed as described by Folda et al (Rapid.Commun. 3, 1982, 167-174) e.g. methyl 2-aminooctadecanoate, docosanyl 2-aminooctadecanoate, methyl 2-aminohexcosanoate and docosanyl 2-amino-hexacosanoate.

20

25

30

These esters of the long chain amino acids may be synthesized from the saturated carboxylic acids by α-bromination using the Hell-Volhard-Zelinsky reaction. The resulting α-bromo acids are converted to the corresponding amino acid by the method of Cheronis et al (J.Org.Chem. 6 (1949) 349). The methyl esters of the amino acid hydrochlorides are prepared by passing dry HCl-gas through a suspension of the amino acid in refluxing methanol. The docosanyl ester of the amino acid hydrochlorides are synthesized by passing dry HCl-gas through a 1:1 mixture of amino acid and docosanol at 110°C. The ester hydrochlorides are then suspended in dry chloroform and converted to the free amine by passing dry ammonia through the suspension.

35

9. Long chain esters of sulphosuccinic acid carrying polymerisable functions.

- 10. Long chain esters of pyridinum dicarboxylic acids (e.g. 3,5-dicarboxy 1-methyl pyridinum iodide) carrying polymerisable functions.
- 5 Iodinated X-ray contrast agents carrying long chain 11. ether or ester groups having polymerisable functions. Thus, for example, an X-ray contrast agent derived from iothalamic acid may have multiple N-dihydroxyalkyl groups one or two of which may be esterified with long 10 chain fatty acids. Thus, for example, iohexol may be partially protected by forming an acetonide derivative of two of the three dehydroxy alkyl groups, followed by reaction with an activated fatty acid, e.g. the acid chloride, and deprotection to remove the acetonide groups. Such an amphiphile may readily be cross-linked 15 by reaction with a dicarboxylic acid after vesicle formation.
- 12. Di-fatty acid esters of sorbitan. The multiple
 20 free hydroxyl groups which are present permit crosslinking by diacids. Alternatively, the esterifying
 fatty acid groups may be unsaturated to permit olefinic
 addition polymerisation.
- 25 13. Diesters of the formula

 R^{13} .CO.O.CH(R^{14}).O.CO. R^{13}

where R¹⁴ is a hydrophilic group and each R¹³ is a
lipophilic group, at least one of R¹³ and R¹⁴ carrying a
polymerisable group and/or functional groups permitting
crosslinking. Such compounds may be synthesised by
reaction of a dihalide of the formula R¹⁴.CH.Hal₂ with a
salt of an acid R¹³.COOH. They are particularly readily
biodegradable.

10

15

20

25

30

35

It may also be beneficial to include in the encapsulating material one or more further amphiphiles such as cholesterol which are not bonded or polymerised but serve to improve the stability and/or flexibility of the microbubbles.

As indicated above the microbubbles may be stabilised by incorporation of particulate material together with the encapsulated gas. Such particles include, for example, silica and iron oxide. The preferred particle size for such stabilising particles is in the range 1 to 500 nm, depending on the size of the microbubbles. The particles should be such that they are only partially wetted by the fluid medium used to disperse the micelles, i.e. the contact angle between the material of the particles and the fluid should be about 90 degrees.

The stabilising particles may carry functional groups which will interact with the amphiphiles to form covalent or other linkages. Particles of the polymerised amphiphiles of formula (II) may be useful in this context. Colloidal silica particles may have a particle size in the range 5-50 nm and may carry silanol groups on the surface which are capable of interaction with the amphiphile by hydrogen bonding or by forming covalent bonds.

The amphiphiles may stabilize the gas or gas precursor by forming a monolayer at the interface between the liquid medium and the gas or gas precursor system, or by forming vesicles consisting of one or more layers containing the gas or gas precursor. The liquid medium may be water or an any non-aqueous liquid with polar, protic, aprotic or apolar characteristics.

The stabilisation of the system by monolayers or multilayers or the formation of the vesicles may be activated, as fully described in the literature, by sonication or even shaking of the amphiphilic material mixture in the appropriate medium, or the vesicles may be formed by any conventional liposome/vesicle-forming principle.

The amphiphiles may form conventional micelles, or inverse micelles when using an apolar non-aqueous medium. The stabilized systems may be dried or freezedried or the non-aqueous phase may be evaporated. The resulting dried system may be resuspended in any physiological acceptable solvent such a saline or phosphate buffer, optionally using a suspending or emulsifying agent.

The methods of polymerization used for the stabilisation of the vesicles, are well established 20 methods in polymer chemistry, i.e. as described in "Comprehensive Polymer Science", Vol 1-7, Pergamon Press, Oxford 1989, or "Methoden der Organischen Chemie", Houben-Weyl, Makromolekulare Stoffe Band E20/1-3, Georg Thieme Verlag, Stuttgart 1987. Examples of 25 suitable methods may be chain polymerization methods such as ionic or radical polymerisation or metal catalysed polymerisation, or the systems may polymerize spontaneously by step polymerisation when monolayers or vesicles are formed. Initiators may be UV-irradiation 30 or simple pH-change, or radical initiators. Particularly interesting here may be encapsulation of a substance which, by slight increase in temperature develops a gas, and simultaneously generates free radicals which initiates polymerisation of the 35 surrounding shell. Such a substance is described in "Comprehensive Polymer Science", Vol 3, Pergamon Press, Oxford 1989, p.p. 99, i.e. azo-bis-isobutyronitrile

(AIBN), which by UV-irradiation, or by warming to 40° C starts generating N_2 while generating two molecules of cyano-isopropyl radicals which may initiate polymerisation or rapidly pair. Polymerisation of amphiphiles containing unsaturated groupings may also be initiated by sonication (see Price et al., Brit. Polym. J. 23 (1990), 63-66), e.g. when this is used to generate a gas-in-liquid emulsion as described in greater detail hereafter.

10

15

20

25

5

A gas entrapped system may be obtained by using a gas precursor or the gas itself may be entrapped. gas may be entrapped into the amphiphile mixture simply by vigorously shaking the mixture in the presence of air, i.e. creating a gas-in-liquid emulsions as described in US 4684479. Another well established method, described e.g. in US 4774958 for creating a gas containing bubble is by sonication of the mixture in the presence of air. Another well known method comprises passing gas through a syringe into a mixture of amphiphile and liquid. As described in US 3900420 the microgas-emulsion may be created by using an apparatus for introducing gas rapidly into a fast-flowing liquid. A region of low pressure is created in a liquid containing the amphiphile. The gas is then introduced to the region of low pressure and the gas-in- liquid system is obtained by pumping the liquid through the system.

By using the principle of electrolysis it is possible to generate the gas to be entrapped directly in a container containing the amphiphiles. The electrolytes necessary for the electrolysis may even help to further stabilize the amphiphiles to make the polymerisation possible. An aqueous solution containing electrolytes may generate hydrogen gas at the cathode and oxygen at the anode. The electrodes may be

separated by a salt bridge. On adding hydrazine nitrogen gas may be generated at the anode. Using the Kolbe reaction, one may also generate CO₂ from carboxylic acids using electrolysis.

5

10

15

20

As described above, gas entrapped vesicles may be obtained by forming liposomes or vesicles consisting of one or more bilayers. These vesicles may be formed at elevated pressure conditions in such a way that the gas is entrapped in the vesicles.

It is also possible to form a liquid-liquid (e.g. oil-in-water emulsion in the presence of amphiphile systems as discussed above, e.g. by sonication, to form liquid-containing vesicles which can then be polymerised. The polymerised vesicles may then be treated to remove the liquid (conveniently a volatile hydrocarbon) therefrom by evaporation, where the boiling point of the liquid is relatively low, or by extraction with a low-boiling solvent which can itself be removed by evaporation. Evaporation of low-boiling liquid cores may also occur spontaneously during sonication. Where the liquid in the vesicles is water, it can be removed by freeze drying.

25

The following Examples are given by way of illustration only;

Bis-linoleyl-lecithin is commercially available 30 from Lipids Products, Surrey, UK:-

Example 1

A saturated solution of the bis-linoleyl-lecithin in an aqueous medium is obtained by mixing 100 mg of the amphiphile in 100 ml of sterile, pyrogen free water. The saturated solution is filtered through a 0.45 μ m

filter, and the resulting solution is sonicated for 1-10 minutes in the presence of air. During the sonication, air is entrapped into the solution and a gas-in-liquid emulsion is formed. Polymerization of the monolayer of the amphiphile at the gas-liquid interphase is achieved by UV-irradiation of the solution at 254 μm using a xenon lamp, or by addition of a radical initiator.

The resulting product contains microspheres with

gas entrapped. The microspheres are separated from
excess polymerised amphiphiles using a separating
funnel. The resulting microspheres are resuspended in
sterile, pyrogen-free saline, and filled into 10 ml
vials. The product is produced using aseptic techniques
in a "clean room" (LAF-station) to obtain a sterile,
pyrogen free product. The particle sizes of the
microspheres are in the range of 0.5-10 μm.

Example 2

20

30

35

Example 1 is repeated using as polymerisable amphiphile the compound bis-(trieicoso-10,12-diynoyl) phosphatidyl choline (Hirth et al; Helv Chim Acta 40, 957, 1928).

25 Example 3

mixture of chloroform/methanol. The mixture is poured into a round bottom flask, and the organic phase is evaporated using a rotavapor in such a way that a thin film of the lecithin derivative is formed at the inner surface of the flask. 10 ml of sterile, pyrogen-free-free water are added and the lipids are dispersed in the solution by sonication at the air/liquid interphase for 5-15 minutes. Gas entrapped vesicles are formed, and the gas-containing microspheres are polymerised by UV-irradiation of the solution at 254 nm using a xenon-lamp

10

or by addition of a radical initiator under continuous stirring. Polymerised gas-entrapped vesicles are separated from excess polymerised amphiphiles using a separating funnel. The resulting vesicles are suspended in sterile, pyrogen free saline and filtered to obtain a product which contains microspheres in the range of 0.5-5 μ m. The product is produced using aseptic techniques in a "clean room" (LAF-station) to obtain a sterile, pyrogen free product. The final product is filled into 10 ml vials.

Example 4

Example 3 is repeated using as polymerisable amphiphile the compound bis-(trieicoso-10,12-diynoyl) phosphatidyl choline (Hirth et al; Helv Chim Acta 40, 957, 1928).

Preparation of polymerisable amphiphiles

20 Example 5 Tetraethylene glycol mono-12(methacryloyloxy)dodecanoate

12-(Methacryloyloxy) dodecanoic acid (Regen et al., J. 25 Am. Chem. Soc. 1982, 104, 795) (2.75 g, 9.65 mmol) was dissolved in tetrahydrofuran (45 ml) and a solution of oxalyl chloride (2.1 ml, 24.2 mmol) in tetrahydrofuran (5 ml) was added dropwise. The mixture was stirred for 24 hours at room temperature, and then the solvent was 30 evaporated under reduced pressure. The residue was dissolved in tetrahydrofuran (25 ml) and added dropwise to a solution of tetraethylene glycol (1.88 g, 9.65 mmol) and pyridine (0.92g, 11.7 mmol) in tetrahydrofuran The mixture was stirred for 24 hours at room (35 ml). 35 temperature. The precipitated pyridinium salt was filtered off and the solvent evaporated. Chromatographic purification on a silica gel column

(ethyl acetate) afforded 1.67 g (38%) of the <u>title</u> <u>compound</u>. ¹H NMR (60 MHz, CDCl₃): δ 1.3 (br s, 18H, (CH₂)₉), 1.95 (m, 3H, C=CCH₃), 2.1-2.6 (m, 2H, CH₂COO), 3.5-3.8 (m, 14H, 3xCH₂OC<u>H₂CH₂+COOCH₂CH₂), 4.0-4.4 (m, 4H, COOCH₂), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).</u>

Example 6 Polyethylene glycol (550) methyl ether 12-(methacryloyloxy)dodecanoate

10

12-(Methacryloyloxy) dodecanoic acid (1.90 g, 6.69 mmol) was dissolved in tetrahydrofuran (20 ml) and a solution of oxalyl chloride (2.12 g, 16.7 mmol) in tetrahydrofuran (10 ml) was added dropwise. The mixture was stirred for 24 hours at room temperature, and then 15 the solvent was evaporated under reduced pressure. residue was dissolved in tetrahydrofuran (10 ml) and added dropwise to a solution of polyethylene glycol (550) monomethyl ether (3.68 g, 6.69 mmol) and pyridine (0.53 g, 6.69 mmol) in tetrahydrofuran (25 ml). The 20 mixture was stirred for 24 hours at room temperature. The precipitated pyridinium salt was filtered off and the solvent evaporated. Chromatographic purification on a silica gel column (chloroform) afforded 2.31 g (42.3%) of the title compound. ¹H NMR (60 MHz, CDCl₃): δ 1.3 (br 25 s, 18H, $(CH_2)_9$, 1.95 (m, 3H, C=CCH₃), 2.1-2.5 (m, 2H, CH,COO), 3.11 (s, 3H, CH,O), 3.5-3.8 (m, 25H (average), CH_OCH_CH_+COOCH_CH_,), 3.9-4.4 (m, 4H, COOCH_,), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

30

Example 7 Polyethylene glycol (2000) methyl ether 12-(methacryloyloxy)dodecanoate

35 12-(Methacryloyloxy) dodecanoic acid (2.84 g, 0.01 mol) in tetrahydrofuran (20 ml) was reacted with oxalyl chloride (3.0 g, 0.024 mol) to obtain the corresponding

10

acid chloride. This acid chloride (3.0 g, 0.01 mol) dissolved in anhydrous tetrahydrofuran (10 ml) was added dropwise to a mixture of polyethylene glycol (2000) monomethyl ether (20.0 g, 0.01 mol) and anhydrous pyridine (0.83 g, 0.01 mol) in anhydrous tetrahydrofuran (300 ml). The mixture was stirred for 48 hours at room temperature. The resulting liquid was purified by flash chromatography (silica gel/ethyl acetate) to give 16.5 g (75%) of the title compound. ¹H NMR (60 MHz, CDCl₃): δ 1.20 (s, 18H, CH₂), 2.15 (m, 2H, CH₂COOH), 3.5 (s, 3H, CH₃O), 3.6 (s, 18OH, 90xCH₂O), 4.0 (m, 4H, 2xCOOCH₂), 5.7-6.0 (m, 3H, CH₂= and =CH).

Example 8

15 a) 16-(Methacryloyloxy) hexadecanoic acid

16-Hydroxyhexadecanoic acid (6.81 g, 25.0 mmol) was dissolved in tetrahydrofuran (150 ml) and the solution was cooled to 0°C before adding pyridine (2.73 g, 34.5 mmol). Methacryloyl chloride (2.61 g, 25.0 mmol) was 20 dissolved in tetrahydrofuran (75 ml) and added dropwise. The mixture was stirred for 1 hour at 0°C, and then at room temperature for 24 hours. The solvent was removed under reduced pressure (room temperature), the residue suspended in ether (100 ml) and the mixture washed with 25 distilled water. The ether layer was dried (MgSO4) and the ether evaporated. Chromatographic purification on a silica gel column (1:2 ethyl acetate/hexane) afforded 5.0 g (64%) of the title compound. 1H NMR (60 MHz, 30 $CDCl_3$): δ 1.3 (br s, 26H, $(CH_2)_{13}$), 1.95 (m, 3H, $C=CCH_3$), 2.1-2.6 (m, 2H, CH₂COO), 4.0-4.4 (m, 2H, COOCH₂), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

b) Tetraethylene glycol mono-

35 <u>16-(methacryloyloxy)hexadecanoate</u>

16-(Methacryloyloxy)hexadecanoic acid (2.05 g, 6.57

10

15

25

30

35

mmol) was dissolved in tetrahydrofuran (25 ml) and a solution of oxalyl chloride (1.4 ml, 16.5 mmol) in tetrahydrofuran (10 ml) was added dropwise. The mixture was stirred for 24 hours at room temperature, and then the solvent was evaporated under reduced pressure. residue was dissolved in tetrahydrofuran (10 ml) and added dropwise to a solution of tetraethylene glycol (1.07 g, 5.50 mmol) and pyridine (0.44 g, 5.50 mmol) in tetrahydrofuran (25 ml). The mixture was stirred for 24 hours at room temperature. The precipitated pyridinium salt was filtered off and the solvent evaporated. Chromatographic purification on a silica gel column (2:1 ethyl acetate/hexane) afforded 0.84 g (30%) of the title compound. ¹H NMR (60 MHz, CDCl₃): δ 1.3 (br s, 26H, (CH₂)₁₃), 1.95 (m, 3H, C=CCH₃); 2.1-2.6 (m, 2H, CH₂COO), 3.5-3.8 (m, 14H, $3xCH_2OC\underline{H}_2C\underline{H}_2+COOCH_2C\underline{H}_2$), 4.0-4.4 (m, 4H, COOCH₂), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

Example 9

20 <u>Polyethylene glycol (350) methyl ether</u> 16-(methacryloyloxy)hexadecanoate

The product was prepared from 16-(methacryloyloxy)hexadecanoic acid (prepared as described in Example
8(a)), and polyethylene glycol (350) monomethyl ether
using the procedure given in Example 6.

Example 10

a) 12-(Acryloyloxy) dodecanoic acid

12-Hydroxydodecanoic acid (5.0 g, 0.023 mol) dissolved in tetrahydrofuran (100 ml) and pyridine (2.16 g, 0.027 mol) was cooled to 0°C. Acryloyl chloride (3.15 g, 0.023 mol) in tetrahydrofuran (75 ml) was then added dropwise to the solution. The mixture was stirred for 5 hours at 0°C then stirred overnight at room temperature. The precipitated pyridinium salt was filtered off and

the solvent removed under vacuum. The resulting liquid was purified by flash chromatography (silica gel/chloroform) to give 2.5 g (40%) of the <u>title compound</u>. ¹H NMR (60 MHz, CDCl₃): δ 1.20 (s, 18H, CH₂), 2.15 (m, 2H, CH₂COOH), 4.0 (m, 2H, COOCH₂), 5.7-6.0 (m, 3H, CH₂= and =CH).

b) <u>Tetraethylene glycol mono- 12-(acryloyloxy)</u> <u>dodecanoate</u>

10

5

12-Acryloyloxydodecanoic acid (2.00 g, 0.007 mol) in diethyl ether (20 ml) was reacted with oxalyl chloride (2.40 g, 0.019 mol) to obtain the corresponding acid This acid chloride (1.80 g, 0.006 mol) chloride. dissolved in anhydrous chloroform (10 ml) was added 15 dropwise to a mixture of tetraethylene glycol (1.20 g, 0.006 mol) and anhydrous pyridine (0.50 g, 0.006 mol) in anhydrous chloroform (30 ml). The mixture was stirred overnight at room temperature. The resulting liquid was purified by flash chromatography (silica gel/ethyl 20 acetate) to give 1.10 g (40%) of the title compound as a colourless oil. ¹H NMR (60 MHz, CDCl₃): δ 1.20 (s, 18H, CH,), 2.15 (m, 2H, CH,COOH), 3.50 (s, 3H, CH,O), 3.6 (s, 14H, $7xCH_{2}O$), 4.0 (m, 5H, $2xCOOCH_{2}$, and OH), 5.7-6.0 (m, 25 3H, $CH_2 = and = CH$).

Example 11

Tetraethylene glycol mono- 10,12-tricosadiynoate

10,12-Tricosadiynoic acid (2.50 g, 0.007 mol) in tetrahydrofuran (30 ml) was reacted with oxalyl chloride (2.25 g, 0.017 mol) to obtain the corresponding acid chloride. This acid chloride (2.45 g, 0.007 mol) dissolved in anhydrous tetrahydrofuran (10 ml) was added dropwise to a mixture of tetraethylene glycol (1.32 g, 0.007 mol) and anhydrous pyridine (0.83 g, 0.01 mol) in anhydrous tetrahydrofuran (40 ml). The mixture was

stirred overnight at room temperature. The precipitated pyridinium salt was filtered off and the solvent removed under vacuum. The resulting liquid was purified by flash chromatography (silica gel/ethyl acetate) to give 1.50 g (41%) of the title compound as a colourless oil. ¹H NMR (60 MHz, CDCl₃): δ 0.88 (m, 3H, CH₃CH₂), 1.30 (m, 28H, CH₂), 2.20 (m, 6H, CH₂), 3.65 (s, 14H, 7xCH₂O), 4.20 (m, 2H, CH₂CO).

10 Example 12 Polyethylene glycol (550) methyl ether 10.12-tricosadiynoate

10,12-Tricosadiynoic acid (2.50 g, 0.007 mol) in tetrahydrofuran (30 ml) was reacted with oxalyl chloride 15 (2.25 g, 0.017 mol) to obtain the corresponding acid chloride. This acid chloride (2.45 g, 0.007 mol) dissolved in anhydrous tetrahydrofuran (10 ml) was added dropwise to a mixture of polyethylene glycol (550) monomethyl ether (3.85 g, 0.007 mol) and anhydrous 20 pyridine (0.83 g, 0.01 mol) in anhydrous tetrahydrofuran (30 ml). The mixture was stirred overnight at room temperature. The precipitated pyridinium salt was filtered off and the solvent removed under vacuum. resulting liquid was purified by flash chromatography 25 (silica gel/ethyl acetate) to give 2.72 g (41%) of the title compound as a colourless oil. 1H NMR (60 MHz, $CDCl_3$): δ 0.88 (m, 3H, $C\underline{H}_3CH_2$), 1.30 (m, 28H, CH_2), 2.20 (m, 6H, CH₂), 3.65 (s, 48H, 24xCH₂CO), 3.50 (s, 3H,CH₃O), 4.20 (m, 2H, CH₂CO). 30

Example 13

- a) Methyl 10,12-tricosadiynoate
- 10,12-Tricosadiynoic acid (3.0 g, 0.0084 mol), methanol (15 ml) and concentrated sulfuric acid (0.8 ml) were heated to reflux and stirred for 1 hour. The cooled

mixture was taken up in ether (40 ml) and washed with 10% NaHCO₃ (20 ml) and water (20 ml), and the organic phase was dried (MgSO₄). Evaporation of the solvent gave 2.68 g (74%) of the <u>title compound</u>. ¹H NMR (60 MHz, CDCl₃): δ 0.98 (m, 3H, $C\underline{H}_3CH_2$), 1.28 (m, 28H, CH_2), 2.25 (m, 6H, CH_2), 3.70 (s, 3H, CH_3O).

b) N-(2',3'-Dihydroxypropyl)-10,12-tricosadiynamide

Methyl 10,12-tricosadiynoate (1.69 g, 4.67 mmol) was dissolved in methanol. 3-Amino-1,2-propanediol (0.509 g, 5.6 mmol) and sodium methoxide 2.5% solution in methanol (0.146 g, 3 mol%) was added. The mixture was refluxed for 3 hours and the solvent evaporated. The crude product was recrystallized from chloroform. Yield: 1.00 g (51%). ¹H NMR (60 MHz, CDCl₃): δ 0.7-1.0 (m, 3H, CH₃CH₂), 1.3 (s, br, 28H, CH₂), 2.0-2.4 (m, 6H, CH₂), 3.3-3.8 (m, 5H, 2xCH₂ + CH (propanediol)), 6.0-6.3 (m, 1H, NH).

20

Example 14

N.N'-bis(2.3-dihydroxypropyl)2,4,6-triiodo-5-(tricosa-10.12-diynoylamino)isophthalamide

5-Amino, N, N'-bis(2, 3-diacetoxypropyl)-2, 4, 6-25 triiodoisophthalamide (2.19 g, 2.5 mmol) and 10,12tricosadiynoyl chloride (1.82 g, 5 mmol) were dissolved in 20 ml dichloromethane. The solution was stirred for 3 days at ambient temperature under a nitrogen 30 atmosphere. TLC (ethyl acetate) indicated that the reaction was complete. The reaction mixture was evaporated and dissolved in a mixture of methanol (30 ml) and 1M sodium hydroxide solution (15 ml). After 1 hour TLC (methanol/chloroform) indicated that the 35 reaction was complete. The solution was neutralized with concentrated hydrochloric acid. The residue was dissolved in chloroform and filtered. The solvent was

removed and the reaction mixture was purified through silica gel with methanol/chloroform (1:3) to give the title compound. ¹H NMR (300 MHz, DMSO): δ 0.8 (CH₃, t), 1.2-1.7 (17xCH₂, m), 2.2-2.3 (2xCH₂, t), 3.1-3.2 (2xCH₂NH, m), 3.3-3.5 (2xCH₂OH, m), 3.6-3.8 (2xCHOH), 4.4-4.7 (4xOH, m), 8.4-8.5 (2xCONH, m), 9.8 (2xArNHCO, s).

Example 15

10 N-(3',4',5'-Trihydroxy-6'-hydroxymethyltetrahydropyran-2'-yl)-10,12-tricosadiynamide

1-Amino-1-deoxy-β-D-galactose (180 mg, 1 mmol), 10,12tricosadiynoic acid (350 mg, 1 mmol) and 1-ethyl-3-(3dimethylaminopropyl)carbodiimide were dissolved in 25 ml
dry dimethylformamide and stirred at room temperature
overnight. The solvent was removed in vacuo, the
residue redissolved in chloroform/methanol (1:1),
filtered and purified by straight phase chromatography
on a CHROMATOTRON. The relevant fractions were
collected, concentrated in vacuo, and the product was
characterised by NMR.

Example 16

30

35

25 6-(2',6'-Diaminohexanoylamino)-3,4,5-trihydroxytetrahydropyran-2-ylmethyl 10,12-tricosadiynoate

1-Amino-1-deoxy-β-D-galactose (180 mg, 1 mmol), and Fmoc-Lys(Boc)-OPfp (650 mg, 1 mmol) were dissolved in 4 ml dry dimethylformamide and stirred at room temperature overnight. The solvent was removed in vacuo, the residue was redissolved in acetonitrile/water (1:1), filtered and purified by reversed phase chromatography (Lobar RP8B, acetonitrile/water 50:50 and 65:35). The relevant fractions were collected, concentrated in vacuo, and the product was characterised by NMR. The purified product (1 g, 1 mmol), 10,12-tricosadiynoic

WO 92/17212 PCT/EP92/00715

- 35 -

acid (350 mg, 1 mmol) and 1-ethyl-3-(3dimethylaminopropyl) carbodiimide are dissolved in 10 ml dry dimethylformamide and stirred at room temperature overnight. The solvent is removed in vacuo, the residue redissolved in chloroform/methanol (95:5), filtered and 5 purified by straight phase chromatography on a CHROMATOTRON. The relevant fractions are collected, concentrated in vacuo, and the product is characterised The protecting groups of the α - ϵ -amino groups are removed by standard reactions. Boc is removed by treatment with trifluoroacetic acid/methylene chloride for 30 minutes. The solvent is removed in vacuo. is removed by treating the residue with 20% piperidine in dimethylformamide for 30 minutes, and the solvent is removed in vacuo. The final product is purified by reversed phase chromatography (Lobar RP8B).

Example 17

10

15

(3,4,5,6-Tetrahydroxytetrahydropyran-2-ylmethyl)

20 10.12-tricosadiynoate

1,2;3,4-di-O-isopropylidene-D-galactopyranose (2.6 g, 10 mmol) and 10,12-tricosadiynoic acid (3.5 g, 10 mmol) were dissolved in 25 ml methylene chloride. 1-Ethyl-25 3(3-dimethylaminopropyl)carbodiimide (2 g, >10 mmol) was added neat. The reaction mixture was stirred overnight at room temperature. The reaction mixture was diluted to 100 ml, extracted with water (2x25 ml), dried over MgSO, and the solvent was removed in vacuo. The crude 30 product was treated with trifluoroacetic acid (10 ml) at room temperature for 30 minutes, evaporated in vacuo, and purified by straight phase chromatography on a CHROMATOTRON, eluted with methanol/chloroform (5:95). The product was characterised by NMR.

10

15

20

25

30

Preparation of ultrasound contrast agents Examples 18-41

i) General preparative procedure

The polymerisable amphiphile was dissolved in a minimum of methanol and added to a mixture of water and a hydrocarbon. A comonomer and/or 2,2'azobisisobutyronitrile (AIBN) dissolved in a minimum of methylene chloride were optionally added and nitrogen was bubbled through the mixture for 1 minute, whereafter the mixture was sonicated under a nitrogen atmosphere using a LABSONIC 2000 apparatus, the sonication probe (length 127 mm, diameter 9.5 mm) being placed 2-3 cm below the surface of the mixture and the energy used being "full scale" or "half scale" in the low position. The resulting emulsions were optionally irradiated with UV light under a nitrogen atmosphere or treated with a redox initiator comprising potassium metabisulphite (0.05 g, 0.22 mmol) in water (1 ml) and potassium peroxosulphate (0.0023 g, 3.3 x 10^{-3} mmol) in water (1 The procedure was modified in Example 31 in that AIBN was added and the mixture was then shaken by hand, whereafter a first portion of comonomer was added and sonication was effected while nitrogen gas was bubbled through the mixture. A further portion of comonomer was then added and the resulting emulsion subjected to UV irradiation.

The specific reaction conditions employed in each Example are set out in Table 1. Similar conditions, e.g. involving sonication for 5 minutes using the full scale setting and irradiating for 1 hour or adding the above-described redox initiator system and stirring carefully for 30 minutes, may be employed to treat the amphiphiles prepared in Examples 14-17.

Table 1 - Reaction conditions

| Redox system | | | | | | | | | ✓(Ex 26b) | | | | |
|--|-----------------------|------------------|-----------------|-------------|-------------|-------------------|------------------|------------------|------------------|------------------|------------------|-------------|-----------------|
| Duration of UV irradiation (hours) | | | 1.5 | ₩. | - | • | - | 25 | 1(Ex 26a) | • | • | • | |
| Sonication level and duration (minutes) | 18-5 | hs-3 | fs-6 | fs-3 | fs-3 | fs-4 | fs-8 | fs-8 | fs-3 | fs-3 | fs-3 | fs-3 | s-3 |
| Quantity of AIBN (g/mmol) | | • | 0.20/1.21 | 0.02/0.12 | 0.02/0.12 | 0.02/0.12 | 0.200/1.20 | 0.200/1.20 f | 0.020/0.12 f | 0.020/0.12 | 0.020/0.12 | 0.020/0.12 | 0.020/0.12 fs-3 |
| Comonomer and quantity (g/mmol) | MM 0.018/0.18 | MM 0.018/0.18 | MM 0.18/1.8 | 0.09/0.9 | 0.018/0.18 | MIM 0.018/0.18 | MM 0.180/1.80 | MM 0.180/1.80 | 0.018/0.18 | 0.018/0.18 | 0.018/0.18 | 0.018/0.18 | 0.042/0.41 |
| Hydrocarbon and volume (ml) | PE-5 | P-5 | IP-50 | P-5 | PE-2.5 | PE-5 | PE-50 | PE-50 | PE-2 | PE-25 | PE-1 | T0-5 | PE-5 |
| Volume of water (ml) | 20 | 20 | 200 | 20 | 20 | 20 | 200 | 200 | 50 | 200 | 20 | 20 | 50 |
| Example No Example in which amphiphile prepared and quantity used (g/mmof) | 5 0.039/0.084 5 | 0.037/0.080 | 0.383/0.83 5 | 0.042/0.091 | 0.040/0.086 | 0.053/0.065 6 | 0.530/0.65 6. | 0.500/0.61 6 | 0.200/0.245 6 | 0.053/0.065 6 | 0.054/0.066 6 | 0.054/0.066 | 0.056/0.069 |
| Example No | 18 | 19 | 20 | 21 | 22 | 83 | 24 | 52 | 56 | 27 | 28 | 8 | 30 |

| Example No | Example No Example in which Volume amphiphile prepared of water and quantity used (ml) (g/mmol) | Volume of water (ml) | Hydrocarbon and volume (ml) | Comonomer and quantity (g/mmol) | Quantity of AIBN (g/mmol) | Sonication level and duration (minutes) | Duration of UV Irradiation (hours) | Redox system |
|------------|---|----------------------|-----------------------------------|---------------------------------------|---------------------------------|---|--|-----------------|
| 31 | 6 0.057/0.070 6 | 20 | PE-5 | ST 0.042/0.41 +0.099/0.95 | 0.020/0.12 fs-3 | (s-3 | - | 4 |
| 32 | 0.054/0.066 | 20 | P-S | ., | 0.020/0.12 | fs-6 | | • |
| 8 | 0.193/0.090 8(b) | 20 | PE-5 | 0.018/0.18 MM | 0.02/0.12 | ls-3 | | • |
| 8 | 0.042/0.081 8(h) | 20 | P-5 | 0.018/0.18 ST | 0.020/0.12 | hs-3 | - | • |
| 35 | 0.046/0.089 | 20 | PE-5 | 0.042/0.41 | 0.020/0,12 | fs-3 | | |
| 36 | 0.052/0.077 | 20 | PE-5 | | | fs-3 | 1. | 4 |
| 37 | 0.036/0.08 | 20 | PE-5 | 0.018/0.18 | 0.02/0.12 | fs-3 | - | ı |
| 38 | 0.047/0.09 | 50 | PE-5 | • | 0.02/0.12 | . 9-s) | • | |
| 39 | 0.080/0.15 | 20 | PE-5 | • | 0.02/0.12 | fs-3 | - | • |
| 40 | 0.057/0.06 13(h) | 20 | PE-5 | | 0.02/0.12 | fs-3 | • | ` |
| 41 | 0.046/0.11 | 20 | PE-5 | • | 0.02/0.12 | [s-3 | • | |

KEY - PE = petroleum ether (b.p. 40-60°C); IP = Isopentane; TO = toluene; MM = methylmethacrylate; ST = styrene; fs = full scale; hs = half scale * Amount of potassium peroxosulphate reduced to 0.002g (0.003 mmol)

ii) Acoustic characterisation

The acoustic effects of the products of Examples 18-41 were investigated by measuring their ultrasonic transmission as a function of time, over a period of 90 seconds. The tests were performed on samples of emulsified material as formed immediately after sonication and, where appropriate, on the material after subjection to UV irradiation or redox initiation. the case of Example 25 the sample removed after irradiation was retested after dilution with water (1:1). In the case of Example 31 a sample removed after the manual shaking was also tested. A 3.5 MHz broadband transducer was used in a pulse-reflection technique. All the readings were stable during the 90 seconds measurement period, so that a single value (in dB/cm) is sufficient to describe each 90 second measurement. In certain cases the measurements were repeated at time intervals to investigate further the stability of the ultrasound contrast agents. The results are presented in Table 2, the time intervals (in minutes from sonication) to acoustic characterisation are given in brackets for each reading.

Table 2 Acoustic characterisations

| Example No. | Acoustic effect after sonication | Acoustic effect after UV irradiation/redox initiation |
|-------------|----------------------------------|---|
| 18 | 2.6 (0) | |
| 19 | 3.7 (0) | |
| 20 | 3.7 (0) | 1.4 (90) |
| | 1.7 (90) | 0 (60) |
| 21 | 0.6 (0) | 0.5 (60) |
| 22 | 0.7 (0) 0.9 (5) | 0 (120) |
| 22 | 5.9 (0) | 0 (120) |
| 23 | 4.3 (104) | |
| 24 | 6.0 (0) | 4.1 (60) |
| 25 | 4.4 (0) | , |
| | 4.2 (30) | |
| | 2.9 (150) | 2.9 (150) |
| | | 1.4 (150) diluted |
| 26 | 4.0 (0) | 2.8 (20, redox) |
| | 1.8 60) | 0.4 (60, UV) |
| 27 | 3.6 (0) | 2.9 (10) |
| | 3.2 (10) | 2.3 (60) 0.6 (720) |
| | 3.6 (60) | 0.6 (720) |
| 28 | 0.9 (0) | |
| 29 | 0.6 (0) 5.7 (0) | |
| 30 | 4.1 (60) | 3.2 (60) |
| • | 3.2 (150) | 2.6 (150) |
| 31 | 2.5 (after shaking) | 200 (200) |
| 31 | 5.4 (0) | |
| | 4.0 (60) | 2.2 (60) |
| | 3.3 (150) | 1.7 (150) |
| 32 | 4.9 (0) | |
| 33 | 5.5 (0) | |
| | 4.7 (20) | - 4 (60) |
| | 3.5 (60) | 2.4 (60) |
| • | 3.1 (100) | 0 (60) |
| 34 | 2.2 (0) | 0 (60) |
| 35 | 1.1 (0) | |
| 36 37 | 2.1 (0) 1.7 (0) | 0 (60) |
| 38 ··· | 4.5 (0) | 5 (55) |
| 39 | 5.6 (0) | |
| | 4.7 (60) | 4.9 (60) |
| | 4.5 (120) | 4.3 (120) |
| 40 | 3.6 (0) | 0 (60) |
| 41 | 5.3 (0) | |
| | | |

iii) Microscopy analysis

A selection of the products from Examples 18-41 were investigated using a light microscope (Nikin UFX-5 II) with a micrometer scale. The investigations were generally performed by taking out samples of emulsified material as formed immediately after sonication, except for Example 31 (where the sample was withdrawn after 10 manual shaking), Example 39 (where the sample was withdrawn after UV irradiation) and Example 40 (where samples were withdrawn both immediately after sonication and after redox initiation), and placing each sample between two glass plates. The results of these investigations are presented in Table 3; the time 15 intervals (in minutes from sonication) to microscopy analysis are given for each sample.

Table 3 Microscopy analysis

| Example No. | Time after sonication (min) | Size (diam., μm) | Comments (shape, size distribution) |
|-------------|-----------------------------|---------------------|---|
| 25 | 10 | 4 | spheres, narrow size distribution |
| 26 | 10 | 10-25 | spheres |
| 27 | 10 | 4 | spheres, narrow size distribution |
| 28 | 10 | 4-6 | spheres, narrow size distribution |
| 29 | 10 | variable | various shapes, broad size distribution |
| 30 | 10 | 4-6 | spheres, narrow size distribution |
| 31 | 10 (after shaking) | 10-100 | large bubbles, unlike the sonicated samples |
| 33 | 10 | 2-3 | spheres |
| 35 | 10 . | 10-15 | spheres |
| 36 | 10 | 8-15 | spheres, broad size distribution |
| 38. | 10 | 5-10 | spheres |
| 39 | 10 | 5-10 | spheres, also larger bubbles |
| 40 | 30 | 5-10 | spheres |
| 40 | 30 (after redox) | variable | bubbles of irregular shape |
| 41 | 10 | 4 | spheres, narrow size distribution |

iv) Size Exclusion Chromatography

Size Exclusion Chromatrography (SEC) was performed on the freeze dried product from Example 25 using tetrahydrofuran (Rathburn HPLC quality) as eluant and 5 refractive index as detector (Knauer, Germany). column set used consisted of 3 x 30cm columns containing $5\mu \text{m}$ styrogel with pore sizes of 10^5 , 10^4 , and 500\AA (Polymer Laboratories Ltd., England). Calibration was made against polystyrene standards (Polymer Laboratories 10 Ltd., England). The amphiphilic monomer starting material gave a peak molecular weight of 1,600 Daltons and the polymer product gave a peak molecular weight of 22,000 Daltons, both given in polystyrene equivalents. Using the conversion factor of 0.59 for converting from 15 polystyrene equivalents to "real" molecular weights (the value for PEG given by Dawkins et al., J. Liq. Chromatog. 7, 1739, (1984), these correspond to molecular weights of 944 Daltons for the monomer and 20 13,000 Daltons for the polymer respectively.

25

30

CLAIMS

- 1. Contrast agents for use in diagnostic ultrasound studies comprising microbubbles of gas or a gas precursor encapsulated by non-proteinaceous crosslinked or polymerised amphiphilic moieties.
 - Contrast agents as claimed in claim 1 in the form of gas-filled microballoons.
- 3. Contrast agents as claimed in claim 1 or claim 2 containing biodegradable linkages selected from amide, imide, imine, ester, anhydride, acetal, carbamate, carbonate, carbonate ester and disulphide groups.
- 4. Contrast agents as claimed in claim 3 containing biodegradable crosslinking groups.
- Contrast agents as claimed in claim 4 wherein the
 biodegradable crosslinking groups include units of formula
 - -(Y)_n.CO.O.C(R¹R²).O.CO.(Z)_n
 (where Y and Z, which may be the same or different,
 are -O-, -S- or -NR³-; R¹ and R², which may be the same
 or different, are hydrogen atoms or carbon-attached
 monovalent organic groups or together represent a
 carbon-attached divalent organic group; R³ is a hydrogen
 atom or an organic group; and the symbols n, which may
 be the same or different, are zero or 1).
 - 6. Contrast agents as claimed in claim 1 or claim 2 obtained from polymerisable amphiphilic moieties containing unsaturated lipophilic chains.
- 7. Contrast agents as claimed in claim 6 wherein the unsaturated lipophilic chains are oleyl or linoleyl groups or contain diacetylene groupings or acryloyl or

30

methacryloyl groupings.

- 8. Contrast agents as claimed in any of the preceding claims wherein the hydrophilic portion of the amphiphile contains one or more groups selected from quaternary ammonium, hydroxyl, carboxy, carboxylate ion, amide, phosphate, sulphate and sulphonate.
- 9. Contrast agents as claimed in claim 8 wherein the
 10 hydrophilic portion of the amphiphile is the triglyceryl
 moiety of a phospholipid, an iodinated X-ray contrast
 agent, a carbohydrate, or a choline, ethanolamine,
 serine or glycerol residue.
- 15 10. Contrast agents as claimed in any of claims 1 to 7 wherein the hydrophilic portion of the amphiphile is an optionally etherified polyoxyethylene glycol residue.
- 11. Contrast agents as claimed in claim 10 wherein the
 20 amphiphile is tetraethylene glycol mono-12(methacryloyloxy)dodecanoate.
 - 12. Contrast agents as claimed in claim 10 wherein the amphiphile is polyethylene glycol (550) methyl ether 12-(methacryloyloxy)dodecanoate.
 - 13. Contrast agents as claimed in claim 10 wherein the amphiphile is polyethylene glycol (2000) methyl ether 12-(methacryloyloxy)dodecanoate.
 - 14. Contrast agents as claimed in claim 10 wherein the amphiphile is tetraethylene glycol mono-16- (methacryloyloxy) hexadecanoate.
- 35 15. Contrast agents as claimed in claim 10 wherein the amphiphile is polyethylene glycol (350) methyl ether 16-(methacryloyloxy)hexadecanoate.

- 16. Contrast agents as claimed in claim 10 wherein the amphiphile is tetraethylene glycol mono-12- (acryloyloxy)dodecanoate.
- 5 17. Contrast agents as claimed in any of the preceding claims further containing an inorganic particulate stabiliser.
- 18. A process for the preparation of a contrast agent
 10 as claimed in claim 1 which consists of forming a fluid
 dispersion of vesicles comprising a gas or gas precursor
 encapsulated by amphiphilic material and thereafter
 crosslinking or polymerising said amphiphilic material.
- 19. A process as claimed in claim 11 wherein the fluid dispersion is prepared by sonication to generate an oil-in-water emulsion in which a volatile hydrocarbon is encapsulated by the amphiphilic material and said volatile hydrocarbon is partially or completely removed from the vesicles after crosslinking or polymerisation of the amphiphilic material.

INTERNATIONAL SEARCH REPORT

International Applica

No

PCT/EP 92/00715

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) According to International Patent Classification (IPC) or to both National Classification and IPC Int.Cl.5 A 61 K 49/00 IL FIELDS SEARCHED Minimum Documentation Searched? Classification Symbols Classification System A 61 K Int.C1.5 Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched III. DOCUMENTS CONSIDERED TO BE RELEVANT? Relevant to Claim No.13 Citation of Document, 11 with indication, where appropriate, of the relevant passages 12 Category o P,X WO,A,9204392 (HOLMES) 19 March 1992, 1-19 see claims 1,10,11; page 4, lines 14-36; page 8, line 18 - page 9, line 3 Y EP,A,0327490 (SCHERING AG) 9 August 1-19 1989, see column 3, lines 37-45; column 4, lines 50-53; claims (cited in the application) P,X EP, A, 0441468 (SCHERING AG) 14 August 1-19 1991, see column 10, line 2 - column 11, line 9; column 15, lines 1-10; claims P,X EP,A,0458745 (SINTETICA) 27 November 1-19 1991, see claims 1,8 "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the Special categories of cited documents: 10 document defining the general state of the art which is not considered to be of particular relevance earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step filing date "I." document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance; the ciaimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, asa, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family IV. CERTIFICATION Date of Mailing of this International Search Report Date of the Actual Completion of the International Search 1 0. 07. 92 26-05-1992 International Searching Authority **EUROPEAN PATENT OFFICE**

International Application Page 2 PCT/EP 92/00715

| TIT DOCTIMEN | ITS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET) | |
|--------------|---|-----------------------|
| Category o | Citation of Document, with indication, where appropriate, of the relevant passages | Relevant to Claim No. |
| | | 1-19 |
| Y | STN File Server, File Biosis, & Biological Abstract, vol. 79, (Philadelphia, PA, US), R.L. JULIANO et al.: "Interactions of polymerized phospholipid vesicles with cells uptake processing and toxicity in macrophages, see abstract no. 83225, & BIOCHIM. BIOPHYS. ACTA 812 (1). 1985. 42-48, see the abstract | • |
| | | · |
| | | |
| | | |
| | | |
| | | |
| | · | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

INTERNATIONAL SEARCH REPORT

International application (No.)

1_[/EP 92/00715

| Bex I | Observations where certain claims | were found u | nscarchable | (Continuatio | on of item 1 o | (first sheet) | | |
|---------|---|--|-------------------------------------|------------------------------------|-------------------|--------------------|-----------------------------|----------|
| This in | ternational search report has not been es | stablished in res | spect of certain | n claims unde | r Article 17(2) | (a) for the follo | owing reasons: | • |
| | | | | | | | ·: . | |
| 1. 🗶 | Claims Nos.: 1 - 9 because they relate to subject matter in | 10t required to | be searched by | y this Author | ity, namely: | • | | |
| DDA | VIEW OF THE LARGE NUMBER TEINACEOUS" OR "AMPHIPHI SONS TO THE COMPOUNDS ME PART 6 CHAP. 11.7, LA Clarms Nos." because they relate to parts of the internation of the content that no meaningful internation | ILIC" OF C ENTIONED I AST SENTEN | CLAIM 1 T IN THE CL NCE AND C | THE SEARC AIMS AND CHAP. 11, | THE SEAL 3.7) | RCH (GU) | ERMS "NOIR ECONOMIC DELINES | • |
| 3 | Claims Nos.: because they are dependent claims and | | | | <u></u> : | | Rule 6.4(a). | |
| Box II | Observations where unity of invent | tion is lacking | (Continuati | on of item 2 | of first sheet |) | | · . |
| This In | ternational Searching Authority found in | nultiple inventio | ons in this int | ernational app | dication, as fol | lows: | | ٠. |
| | | | | | | | | |
| | | | • | | | | | |
| | • | | | | | | | |
| | | | | | | | | |
| | | | | | | | . : | |
| _ | · | | | | | | • • • • | |
| 1 | As all required additional search fees | were timely pai | d by the appli | cant, this inte | rnational searc | h report cover | e all | |
| | searchable claims. | | | | | | ٠. | |
| | · | | | | | | | |
| 2. | As all searchable claims could be search | ches without ef | Fort justifying | an additional | fee, this Auth | ority did not i | nvite payment | |
| | of any additional fee. | | • | | | | | |
| | | | | | | ÷ | . : ' | |
| | _ | | | | | | • | |
| 3. | As only some of the required addition covers only those claims for which fee | nai search fees v | were timely pa | uid by the app | licant, this inte | rnational sear | ch report | |
| | COARLE GURA (1908) Gramme for American | si weta bror si | recurrenty can | 11000 | | • | | |
| | • | • | | • | | • | | |
| | | · | | | | ٠, | • • • | |
| | | | | | | | | |
| | .·, | | | | | | | |
| 4. | No required additional search fees we | ere timely paid | by the applica | nt Conseque | ntly, this interr | national search | report is | |
| | restricted to the invention first mention | oned in the clair | ms; it is cover | ed by ciaims | MOE! | | | |
| | • | | | | • | | | |
| | | | | | | • | | |
| | | | | | | | | . : |
| | • | | | | | | | |
| _ | | | The eddicions | i eagrah faac - | men secondaria | ied hy the arm | licant's protest | Ł |
| Rema | rk en Protest | | THE SUCHOOS | 7 PEST ON 1549 A | una secomban | mer o's eres while | | - |
| | | | No protest so | companied th | e payment of a | additional sear | ch fees. | |
| | | لسا | | | | | | • |
| i | | | • | | | | | |

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

EP 9200715

SA 57649

٠

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 01/07/92

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

| Patent document cited in search report | | | Publication date | | |
|---|----------|--|--|--|--|
| WO-A- 9204392 | 19-03-92 | AU-A- | 8525691 | 30-03-92 | |
| EP-A- 0327490 | 09-08-89 | DE-C- DE-A- AU-A- WO-A- EP-A- JP-T- | 3803971 3803972 3035189 8906978 0398935 3503634 | 07-09-89 10-08-89 25-08-89 10-08-89 28-11-90 15-08-91 | |
| EP-A- 0441468 | 14-08-91 | DE-A- AU-A- | 4004430 7098291 | 14-08-91 17-10-91 | |
| EP-A- 0458745 | 27-11-91 | AU-A- CN-A- | 7614491 1056634 | 21-11-91 04-12-91 | |

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: WO 92/17212 (11) International Publication Number: A1 A61K 49/00 (43) International Publication Date: 15 October 1992 (15.10.92)

(74) Common Representatives: HOLMES, Michael, John et al.; (21) International Application Number: PCT/EP92/00715 Frank B. Dehn & Co., Imperial House, 15-19 Kingsway,

London WC2B 6UZ (GB). (22) International Filing Date: 28 March 1992 (28.03.92)

(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (Euro-(30) Priority data: 9106673.8 28 March 1991 (28.03.91) **GB** pean patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (Eur (71) Applicant (for GB only): HOLMES, Michael, John [GB/

GB]; Frank B. Dehn & Co., Imperial House, 15-19 Kingsway, London WC2B 6UZ (GB). pean patent), NO, SE (European patent), US.

(71) Applicant (for all designated States except US): NYCOMED AS [NO/NO]; Nycoveien 2, P.O. Box 4220 Torshov, N-**Published**

040i Oslo 4 (NO).

(72) Inventors; and (75) Inventors/Applicants (for US only): KLAVENESS, Jo [NO/ NO]; Skøyen terasse 15, N-0276 Oslo (NO). PRIEBE, Hanno [NO/NO]; Johan Castbergs vei 56, N-0673 Oslo (NO). RONGVED, Pal [NO/NO]; Hovdens vei 13, N-1454 Hellvik (NO). STUBBERUD, Lars [NO/SE]; Karlskovsvägen 7, S-151 37 Södertälje (SE).

With international search report.

(54) Title: IMPROVEMENTS IN OR RELATING TO CONTRAST AGENTS

(57) Abstract

Ultrasound contrast agents having microbubbles of gas or a gas precursor encapsulated by non-proteinaceous crosslinked or polymerised amphiphilic moieties, e.g. in the form of micelles, exhibit good stability in vivo upon administration and may if desired incorporate biodegradable linkages so as to possess particular desired levels of biodegradability.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCI on the front pages of pamphlets publishing international applications under the PCI.

"Improvements in or relating to contrast agents"

This invention relates to novel contrast agents, more particularly to new gas-containing or gas-generating contrast agents of use in diagnostic ultrasonic imaging.

10 It is well known that ultrasonic imaging comprises a potentially valuable diagnostic tool, for example in studies of the vascular system, particularly in cardiography, and of tissue microvasculature. A variety of contrast agents has been proposed to enhance the 15 acoustic images so obtained, including suspensions of solid particles, emulsified liquid droplets, gas bubbles and encapsulated gases or liquids. It is generally accepted that low density contrast agents which are easily compressible are particularly efficient in terms 20 of the acoustic backscatter they generate, and considerable interest has therefore been shown in the preparation of gas-containing and gas-generating systems.

Initial studies involving free gas bubbles generated in vivo by intracardiac injection of physiologically acceptable substances have demonstrated the potential efficiency of such bubbles as contrast agents in echocardiography; such techniques are severely limited in practice, however, by the short lifetime of the free bubbles. Interest has accordingly been shown in methods of stabilising gas bubbles for echocardiography and other ultrasonic studies, for example using emulsifiers, oils, thickeners or sugars.

WO 80/02365 discloses the use of gelatinencapsulated gas microbubbles for enhancing ultrasonic images. Such microbubbles do not, however, exhibit adequate stability at the dimensions preferred for use in echocardiography (1-10 μ m) in view of the extreme thinness of the encapsulating coating.

5

10

15

20

US-A-4774958 discloses the use of microbubble dispersions stabilised by encapsulation in denatured protein, e.g. human serum albumin. Such systems permit the production of microbubble systems having a size of e.g. 2-5 μm but still do not permit efficient visualisation of the left heart and myocardium.

EP-A-0327490 discloses, inter alia, ultrasonic contrast agents comprising a microparticulate synthetic biodegradable polymer (e.g. a polyester of a hydroxy carbonic acid, a polyalkyl cyanoacrylate, a polyamino acid, a polyamide, a polyacrylated saccharide or a polyorthoester) containing a gas or volatile fluid (i.e. having a boiling point below 60°C) in free or bonded form. Emulsifiers may be employed as stabilisers in the preparation of such agents, but such emulsifiers do not chemically interact with the polymer.

We have now found that particularly effective ultrasonic contrast agents may be obtained by 25 encapsulating gas bubbles or gas generating systems with polymers containing chemically linked surface active, i.e. amphiphilic, moieties. Thus the surface active properties of the amphiphilic groups stabilise the microbubble system by reducing surface tension at the 30 gas-liquid interfaces, e.g. by forming monolayers or one or more bilayers (alternatively known by the terms micelles, vesicles, liposomes and niosomes) at said interfaces, while the linking of the groups through the polymer system generates further stability. Flexibility 35 of the encapsulating materials also enhances the image density afforded by such contrast agents. For

10

35

simplicity the terms "vesicle" is used herein to denote all such microbubble structures prior to or after cross-linking or polymerisation. It should be noted that under some conditions irregularly shaped structures may be formed, e.g. microtubules which may join with or even entrap spherical structures.

Thus according to one aspect of the present invention there are provided contrast agents for use in diagnostic ultrasound studies comprising microbubbles of gas or a gas precursor encapsulated by non-proteinaceous crosslinked or polymerised amphiphilic moieties.

The term "crosslinked" is used herein to denote

15 that the amphiphilic moieties are linked to each other
to form a polymeric structure which may incorporate one
or more polymer systems (including copolymers).

A major advantage of contrast agents according to

the invention is that they may be designed to a

particular desired level of biodegradability in vivo by

selecting appropriate biodegradable linkages at

appropriate positions. It will be appreciated that in

order to be effective the contrast agents must be stable

throughout the ultrasonic examination but are preferably

metabolised or removed safely from the circulation

system shortly thereafter. Contrast agents in

accordance with the invention should thus preferably

have a half-life in vivo of not more than 48 hours, for

example 1-12 hours.

Biodegradable linkages which may be present in contrast agents according to the invention include amide, imide, imine, ester, anhydride, acetal, carbamate, carbonate, carbonate ester and disulphide groups. At least one such group should preferably be present in the amphiphilic moiety, in the hydrophilic

10

15

20

25

30

35

and/or lipophilic portion; it may be advantageous to position the group in the hydrophilic part to facilitate enzymic interaction in vivo. It is further preferred that biodegradable linkages be present in the polymer backbone to ensure substantial breakdown of the polymer in the body.

Any biocompatible gas may be employed in the contrast agents of the invention, for example air, nitrogen, oxygen, hydrogen, nitrous oxide, carbon dioxide, helium, argon, sulphur hexafluoride and low molecular weight optionally fluorinated hydrocarbons such as methane, acetylene or carbon tetrafluoride. The gas may be free within the microbubble, advantageously in the form of a gas-filled "microballoon" since the echogenicity of such products may be enhanced by virtue of their relatively flexible nature. Alternatively the gas may be trapped or entrained within a containing substance. The term "gas" as used herein includes any substances in gaseous form at 37°C.

Gas precursors include carbonates and bicarbonates, e.g. sodium or ammonium bicarbonate and aminomalonate esters. The term "gas precursor" as used herein also embraces substances such as volatile hydrocarbons which may initially be encapsulated but thereafter are partially or completely removed from the vesicles, e.g. by evaporation or freeze-drying, to be replaced by gas.

For applications in echocardiography, in order to permit free passage through the pulmonary system and to achieve resonance with the preferred imaging frequency of about 0.1-15 MHz, it may be convenient to employ microbubbles having an average size of 0.1-10 μ m, e.g. 1-7 μ m. Substantially larger bubbles, e.g. with average sizes of up to 500 μ m, may however be useful in other applications, for example gastrointestinal imaging or

investigations of the uterus or Fallopian tubes.

If desired the microbubbles may incorporate particulate stabilisers, for example inorganic materials such as silica or iron oxide which are only partially wetted by the solvent system employed, e.g. having a particle size of 1-500 nm. Colloidal silica having a particle size of 5-50 nm may advantageously be employed for this purpose.

10

30

5

Polymer systems which may be employed in the contrast agents of the invention include carbohydrates such as dextrans and starches, chitin, chitosan, carboxymethylchitosan, alginate, hyaluronic acid, 15 polyacrylamides, polycyanoacrylates, hydroxyalkylpolycyanoacrylates, polyhydroxy acids such as polylactic acids, polyhydroxybutyrates, polyglycolic acids, polylactide-glycolides, polyorthoesters, polyanhydrides, polyurethanes, polyester imides, 20 polyimides, polyacetals, poly-epsilon-caprolactones, polydioxanones, polyaminotriazoles, poly(amideenamines), poly(amide-urethanes), polyphosphazenes, polyvinyl alcohols, organo-polysiloxanes, poly(enolketones) and copolymers of these materials, modified as necessary to introduce hydrophilic or lipophilic 25 moieties.

The microbubbles according to the invention may be prepared by forming a fluid dispersion of vesicles comprising a gas or gas precursor encapsulated by amphiphilic material followed by crosslinking or polymerisation of the amphiphilic material.

The vesicles will normally comprise a substantially spherical monolayer or multilayer of the amphiphilic material. The hydrophilic moieties of the amphiphiles will be physically associated to form a contiguous layer

PCT/EP92/00715

10

15

20

25

30

35

while the lipophilic moieties will also form a layer which may be inside or outside the hydrophilic layer. In bilayers, two layers of the amphiphilic material may be superimposed; thus, for example, a first layer of amphiphilic material may form in which the lipophilic groups are on the outside. A second layer of amphiphilic material may then overlay the first layer with the lipophilic groups adjacent to the lipophilic groups of the first layer and the hydrophilic groups on the outside. Similarly, a bilayer may have the lipophilic groups on the outside and inside and the hydrophilic groups sandwiched between.

Where the fluid in which the vesicles are dispersed is polar, for example aqueous, the hydrophilic groups of the vesicles will tend to be on the outside of the micelles and the lipophilic groups will be on the inside forming a monolayer. On the other hand, if the dispersing fluid is apolar, the lipophilic groups will be on the outside, particularly if the encapsulated material is hydrophilic, e.g. a gas precursor or a solid material containing absorbed or entrained gas, possible in association with a polar liquid. Bilayers may form when the encapsulated material is of the same type, i.e. hydrophilic or lipophilic, as the dispersing fluid.

The amphiphiles used in accordance with the present invention will carry functional groups permitting crosslinking or polymerisation. These may in some instances be groups imparting hydrophilic or lipophilic character or they may be independent of the amphiphilic groupings.

The amphipiles may be considered in three categories:

1. The amphiphiles may carry at least two simple reactive groups such as hydroxyl, amino or carboxyl

10

groups which are capable of reacting with polyvalent reactive monomers or preformed polymers. For example, if the amphiphile carries two hydroxyl groups (in the hydrophilic moiety), a dicarboxylic acid such as suberic acid may be reacted with the vesicles after encapsulation of the gas or gas precursor to provide a crosslinked or polymerised structure. Diamino-amphiphiles may similarly be reacted with dicarboxylic acids while dicarboxylic amphiphiles may be reacted with diamines or diols. Additional crosslinking may be provided by trifunctional reagents. A catalyst will normally be present to assist reaction.

The crosslinking agent may itself be amphiphilic so that the vesicle will form with the lipophilic and hydrophilic groups of the first amphiphile and the amphiphilic crosslinking agent in alignment, whereupon crosslinking between the reactive functional groups may be initiated.

20

25

30

35

As indicated above, it is particularly advantageous for the polymerised or crosslinked amphiphile to be biodegradable, especially into relatively simple water soluble units. In the case of the ester and amide bonds referred to above, esterase and amidase enzymes will commonly be available in the vascular system and can degrade the encapsulating material back to separate amphiphile molecules and the diamine, diol or diacid reagents which under physiological conditions will not recombine.

If desired, even more biolabile crosslinking groups such as carbonate ester groups may be introduced e.g. using orthoester crosslinking agents. Another useful class of crosslinking agents have the formula (I)

$$A^{1}.R^{8}.(Y)_{n}.CO.O.C(R^{1}R^{2}).O.CO.(Z)_{n}.R^{9}.A^{2}$$
 (I)

20

25

30

35

(where Y and Z, which may be the same or different, are -0-, -S- or $-NR^3-$;

R¹ and R², which may be the same or different, are hydrogen atoms or carbon-attached monovalent organic groups or together represent a carbon-attached divalent organic group;

 \mathbb{R}^3 is a hydrogen atom or an organic group; the symbols n, which may be the same or different, are zero or 1;

10 R⁸ and R⁹, which may be the same or different are divalent organic groups, for example alkylene or alkylidene groups having 1-12 carbon atoms; and A¹ and A² are functional groups, for example reactive with hydroxyl, amino or carboxyl groups), since the crosslinking groups so introduced contain units of formula

$$-(Y)_n$$
.CO.O.C(R^1R^2).O.CO.(Z)_n-

(where Y, Z, each n, R¹ and R² are as defined above) which are particularly readily degraded by common esterases, while exhibiting stability in the absence of enzymes.

R¹, R² and R³ may each be a hydrocarbyl or heterocyclic group, for example having 1-20 carbon atoms, e.g. an alkyl or alkenyl group (preferably having up to 10 carbon atoms), a cycloalkyl group (preferably having up to 10 carbon atoms), an aralkyl group (preferably having up to 20 carbon atoms), an acyl group (preferably having up to 20 carbon atoms) or a heterocyclic group having up to 20 carbon atoms and one or more heteroatoms selected from 0,S and N; such a hydrocarbyl or heterocyclic grouping may carry one or more functional groups such as halogen atoms or groups of the formulae -NR⁴R⁵, -CONR⁴R⁵, -OR⁶, -SR⁶ and -COOR⁷, where R⁴ and R⁵, which may be the same or different, are hydrogen atoms, acyl groups or hydrocarbyl groups as defined for R¹ and R²; R⁶ is a hydrogen atom or an acyl

group or a group as defined for R^1 or R^2 and R^7 is a hydrogen atom or a group as defined for R^1 or R^2 ; where R^1 and R^2 represent a divalent grouping, this may for example be an alkylene or alkenylene group (preferably having up to 10 carbon atoms) which may carry one or more functional groups as defined above. In general R^1 and R^2 are preferably hydrogen or small groups such as C_{1-4} alkyl groups.

10 The amphiphile may contain polymerisable groupings 2. which can be caused to polymerise after vesicle formation. Such polymerisable groupings may, for example, include unsaturated lipophilic chains, e.g. alkenyl or alkynyl groupings containing up to 50 carbon 15 atoms, for example 10-30 carbon atoms, such as oleyl or linoleyl groups or groups containing diacetylene, acryloyl or methacryloyl groupings. Polymerisation of such groupings will, in general, yield hydrocarbon backbone polymers the backbones of which are not readily biodegradable, although such polymers may be designed so 20 that the backbone residue resulting from biodegradation is water-soluble, e.g. by virtue of the presence of hydrophilic substituents such as carboxyl or hydroxyl groups, to enhance its dispersibility. The chain length of such polymers is in general preferably such that 25 their molecular weight does not exceed 40,000.

Where a greater degree of biodegradability is required, it may be preferable to avoid formation of polymeric hydrocarbon chains which cannot readily be degraded and to effect polymerisation or crosslinking exclusively through biodegradable groups such as ester, carbonate, carbamate, amide or imide bonds of the type referred to above. In general, the functional groups leading to such bonds will be hydrophilic and thus lead to crosslinking between the hydrophilic parts of the amphiphiles.

WO 92/17212 PCT/EP92/00715

However, polymerisation of lipophilic hydrocarbon chains may be used to yield a biodegradable polymer if the amphiphile comprises a biodegradable hydrophilic moiety carrying two such chains; where the lipophilic chains of adjacent amphiphile molecules become crosslinked, e.g. via unsaturated carbon-carbon bonds, the extended lipophilic groupings so formed will be separated by the biodegradable hydrophilic groups; on biodegradation, the polymeric structure will thus break up into relatively small lipophilic molecules carrying the residues of the degraded hydrophilic moieties.

A soluble amphiphilic polymer carrying appropriate functional groups may be further polymerised or crosslinked after vesicle formation. Such substances 15 include polyamino acids and carbohydrates carring lipophilic groups, as well as low molecular weight polyesters, polyamides etc carrying appropriate groups providing amphiphilic character. Thus, for example, hydrophilic polymers, such as those listed above, may be 20 provided with lipophilic chains, e.g. C10-30 alkyl, alkenyl or alkynyl groups, to provide suitable amphiphiles for use in accordance with the invention. Chemical methods for the attachment of such lipophilic chains include partial esterification of the hydroxyl 25 groups of polyhydroxy acids, salt formation of anionic surfactants on the amino groups of chitosan or covalent derivatisation of such groups, and attachment of hydrophobic groups to carbohydrates or cyclodextrins by way of ester bonds. 30

The soluble polymer for further polymerisation may also be an amphiphile polymerised or crosslinked in accordance with (1) or (2) above.

35

5

10

Polymerisable or crosslinkable amphiphiles which may be used in accordance with the invention thus

include compounds of the general formula (II).

$$[(X)_p(R^{10})_q]B_r \qquad (II)$$

5 where X is an anionic, cationic or non-ionic hydrophilic moiety;

R¹⁰ is a lipophilic group;

B is a group capable of polymerisation or cross-linking;

- p and q are integers; and
 r is zero or, when neither X or R¹⁰ is
 capable of polymerisation or crosslinking, is an
 integer.
- The groups X and R¹⁰ may be joined in various ways.

 Thus, for example, a hydrophilic group X may carry one or several lipophilic groups R¹⁰ or a lipophilic group R¹⁰ may carry one or several hydrophilic groups X. One or more hydrophilic groups X may also join separate

 20 lipophilic groups R¹⁰ as long as the amphiphile can adopt a configuration in which the hydrophilic and lipophilic moieties of adjacent molecules are aligned.

Similarly, the group(s) B (where present) may be attached to one or more of the groups X and R^{10} .

To provide or enhance biodegradability, one or more biodegradable groupings W may connect the groups X, R^{10} and B.

30

35

The group X may, for example, be a quaternary ammonium grouping $-N(R^{11})_3V$ where the groups R^{11} (which may be the same or different) may be, for example, alkyl, aralkyl or aryl groups containing, for example, up to 20 carbon atoms, and V is an anion. It will be appreciated that one or more of the groups R^{11} may be a lipophilic group R^{10} .

Other useful hydrophilic groups X include, hydroxyl, carboxylate, amide, phosphate, sulphate and sulphonate groups. Further examples of hydrophilic groups X include:

5

- O.CH₂.CH₂.N⁺(CH₃)₃ (choline) - O.CH₂.CH₂.N⁺H₃ (ethanolamine) - O.CH(NH₃⁺).COO⁻ (serine) - O.CH₂.CH(OH).CH₂OH (glycerol)

- hexoses and pentoses such as inositol.

10

15

20

25

30

35

The group R¹⁰ may, for example, be a saturated or unsaturated, straight or branched hydrocarbon chain, which may contain, for example, 6-50 carbon atoms and may be interrupted by one or more biodegradable groups W and may carry one or more functional groups permitting chains R¹⁰ on adjacent amphiphiles to crosslink to form a biodegradable group. Useful groups R¹⁰ include oleyl and linoleyl groups and chains containing diacetylene groupings.

The group(s) B may be, for example, orthoester groups which form carbonate ester linkages with hydroxyl groups, or hydroxyacid groups (or separate hydroxyl and carboxyl groups) which form ester linkages.

It will be appreciated that the hydrophilic group X may comprise a moiety which is not itself directly responsible for hydrophilic properties, as in the case of a group R¹¹ of a quaternary ammonium grouping as defined above, which may for example be a lower alkyl group too small to impart lipophilic character; such groups may also form part of the connection between the groups X and R¹⁰. In other words, there may be transitional regions between groups X and R¹⁰ which are not strictly either lipophilic or hydrophilic in themselves but can be regarded as part of either X or

R¹⁰.

Thus, in a special case of the amphiphiles of formula (II), the groups X, R10 and B may be attached to a preformed polymer which may be regarded as part of X 5 or of ${\ensuremath{\mathsf{R}}}^{10}$ according to its chemical and physical character. Such a polymer may be a known hydrophilic polymer on to which lipophilic groups (as discussed above) have been attached, or a lipophilic polymer, e.g. a polyolefin, carrying hydrophilic groups. 10 Alternatively, such a polymer may be obtained by partial polymerisation of an amphiphile of formula (II). In all such cases, the preformed polymer should be sufficiently soluble to permit vesicle formation and should be so 15 functionalised as to permit covalent, ionic or coordinate crosslinking to stablise the vesicles.

Particularly useful monomeric amphiphiles include cyanoacrylate esters carrying lipophilic esterifying groups (which may also have hydrophilic moieties). Thus, for example, US 4329332 describes the micellar polymerisation of lower alkyl cyanoacrylates, a technique which may be extendable to the polymerisation of acrylates of the formula CH₂=C(CN).CO.O.(C₆₋₂₀ aliphatic). Similarly, a di-acrylate of the formula

 $CH_2 = CH.CO.O.(CH_2.CH_2.O)_{98}.(CH_2.CH(Me).O)_{67}.(CH_2.CH_2.O)_{98}.CO.CH = CH_2$

has been used by Ping et al (Int. J. Pharm, 61 (1990) 30 79-84). Corresponding cyanoacrylates may also be used.

Amphiphilic materials of use in accordance with the invention include the following classes of substances derivatised with lipophilic groups:-

 polyoxyethylene derivatives of steroids,
glyccsides,
galactosides,
hydroxyacids or polyhydroxyacids (including
carboxylic, phosphonic, sulphonic and sulphinic
acids),
carbohydrates and derivatives thereof,
aminoalcohols and derivatives thereof,
cyanoacrylates,
acrylamides, and
hydroxyamides.

Polymerisable Amphiphiles

- A number of classes of useful polymerisable amphiphiles are listed below:
 - 1. $CH_2(OB_1).CH(OB_2).CH_2.O.PO(O^*)O(CH_2)_2N^*(CH_3)_3$
- where B₁ and B₂ may be

$$-CO-(CH_2)_8-C\equiv C-C\equiv C-(CH_2)_n-CH_3$$

(where n is an integer e.g. 9, 12 or 13) as

described in WO 85/04326. Such compounds can be made by
conventional phospholipid chemistry as described in
Hirth et al (Helv.Chem.Acta 40, 1957, 1928) and Pfeiffer
et al (J.Org.Chem. 35, 1970, 221).

30 Such compounds may thus be prepared by procedures described in EP-A-0032622. The zwitterionic group may be introduced by subjecting the appropriate phosphonic or phosphinic acid or an esterifiable derivative thereof to reaction with glycerol or an esterifiable derivative thereof. The groups B₁ and B₂ may be introduced into the molecule by esterification using the carboxylic acid of B₁ and B₂ or an ester-forming derivative thereof. These

10

15

reactions can be carried out between the glycerol or derivatives thereof on the one hand, and the carboxylic acid and the phosphorus ester on the other, either simultaneously or optionally in steps. Other known methods for the synthesis may equally well be used.

Polymerisation of these compounds may, for example, be obtained by irradiation at 254 nm using a xenon lamp after formation of gas containing liposomes or formation of monolayers of the amphiphiles at the gas/liquid interface.

- 2. Phospholipids such as phosphodiglycerides and sphingolipids carrying polymerisable groups.
- 3. Unsaturated oils having hydrophilic groups such as corn oil, sunflower seed oil, soybean oil, safflower oil, peanut oil, cottonseed oil and olive oil.
- 4. Saturated and unsaturated fatty acid derivatives with hydroxyl groups, for example castor oil and ergot oil which are triglycerides of d-12-hydroxyoleic acid.
- 5. Compounds as described in "Polymerised Liposomes"
 25 (Technical Insights Inc 1988) and Hub et al (J.
 Macromol.Sci.Chem. Al5,(5),1981,701-715). These may
 have the structures:

6. Compounds of the formula:

25

$$[CH_3-(CH_2)_{12}-C=C-C=C-(CH_2)_8-CO-L-(CH_2)_2]_2M$$

where L and M may be -0-, -S- or $-NR^{12}-$ (where R^{12} is H or an alkyl group), for example the compounds in which

30

$$L = M = -0-; L = -0-, M = -N(CH_x)-; L = -NH-, M = -0-;$$

$$L = -0-$$
, $M = -N(CH_3)_2 - B\bar{r}$ and $L = -0-$, $M = -N(CH_2.CH_2.SO_3H) -$

35

40

45

Such compounds may be prepared by reacting a reactive derivative of hexacosane-10,12-diynoic acid (e.g. the acid chloride) with the appropriate compound (HLCH₂CH₂)₂M in dry chloroform at 0°C in the presence of pyridine, if necessary followed by quaternisation.

Synthesis of hexacosane-10,12-diynoic acid is described by Singh et al (Polym.Prep.: Am.Chem.Soc. Div.Polym.Chem; <u>26</u> (2), 1985, 184-5). The acid chloride may be prepared by reaction with oxalylchloride.

7. Compounds as described by Paleos (Chem.Soc.Rev. <u>14</u>, 1985, 45-67), for example of the following structures:

35

8. Esters of α-amino fatty acids which may be self condensed as described by Folda et al (Rapid.Commun. 3, 1982, 167-174) e.g. methyl 2-aminooctadecanoate, docosanyl 2-aminooctadecanoate, methyl 2-aminohexcosanoate and docosanyl 2-amino-hexacosanoate.

20

25

30

These esters of the long chain amino acids may be synthesized from the saturated carboxylic acids by \$\alpha\$-bromination using the Hell-Volhard-Zelinsky reaction. The resulting \$\alpha\$-bromo acids are converted to the corresponding amino acid by the method of Cheronis et al (J.Org.Chem. 6 (1949) 349). The methyl esters of the amino acid hydrochlorides are prepared by passing dry HCl-gas through a suspension of the amino acid in refluxing methanol. The docosanyl ester of the amino acid hydrochlorides are synthesized by passing dry HCl-gas through a 1:1 mixture of amino acid and docosanol at 110°C. The ester hydrochlorides are then suspended in dry chloroform and converted to the free amine by passing dry ammonia through the suspension.

35

9. Long chain esters of sulphosuccinic acid carrying polymerisable functions.

- 10. Long chain esters of pyridinum dicarboxylic acids (e.g. 3,5-dicarboxy 1-methyl pyridinum iodide) carrying polymerisable functions.
- 11. Iodinated X-ray contrast agents carrying long chain ether or ester groups having polymerisable functions. Thus, for example, an X-ray contrast agent derived from iothalamic acid may have multiple N-dihydroxyalkyl groups one or two of which may be esterified with long chain fatty acids. Thus, for example, iohexol may be partially protected by forming an acetonide derivative of two of the three dehydroxy alkyl groups, followed by reaction with an activated fatty acid, e.g. the acid chloride, and deprotection to remove the acetonide groups. Such an amphiphile may readily be cross-linked by reaction with a dicarboxylic acid after vesicle
- 12. Di-fatty acid esters of sorbitan. The multiple
 20 free hydroxyl groups which are present permit crosslinking by diacids. Alternatively, the esterifying
 fatty acid groups may be unsaturated to permit olefinic
 addition polymerisation.
- 25 13. Diesters of the formula

formation.

 R^{13} .CO.O.CH(R^{14}).O.CO. R^{13}

where R¹⁴ is a hydrophilic group and each R¹³ is a
lipophilic group, at least one of R¹³ and R¹⁴ carrying a
polymerisable group and/or functional groups permitting
crosslinking. Such compounds may be synthesised by
reaction of a dihalide of the formula R¹⁴.CH.Hal₂ with a
salt of an acid R¹³.COOH. They are particularly readily
biodegradable.

10

15

30

35

It may also be beneficial to include in the encapsulating material one or more further amphiphiles such as cholesterol which are not bonded or polymerised but serve to improve the stability and/or flexibility of the microbubbles.

As indicated above the microbubbles may be stabilised by incorporation of particulate material together with the encapsulated gas. Such particles include, for example, silica and iron oxide. The preferred particle size for such stabilising particles is in the range 1 to 500 nm, depending on the size of the microbubbles. The particles should be such that they are only partially wetted by the fluid medium used to disperse the micelles, i.e. the contact angle between the material of the particles and the fluid should be about 90 degrees.

20 The stabilising particles may carry functional groups which will interact with the amphiphiles to form covalent or other linkages. Particles of the polymerised amphiphiles of formula (II) may be useful in this context. Colloidal silica particles may have a particle size in the range 5-50 nm and may carry silanol groups on the surface which are capable of interaction with the amphiphile by hydrogen bonding or by forming covalent bonds.

The amphiphiles may stabilize the gas or gas precursor by forming a monolayer at the interface between the liquid medium and the gas or gas precursor system, or by forming vesicles consisting of one or more layers containing the gas or gas precursor. The liquid medium may be water or an any non-aqueous liquid with polar, protic, aprotic or apolar characteristics.

The stabilisation of the system by monolayers or multilayers or the formation of the vesicles may be activated, as fully described in the literature, by sonication or even shaking of the amphiphilic material mixture in the appropriate medium, or the vesicles may be formed by any conventional liposome/vesicle-forming principle.

The amphiphiles may form conventional micelles, or inverse micelles when using an apolar non-aqueous medium. The stabilized systems may be dried or freezedried or the non-aqueous phase may be evaporated. The resulting dried system may be resuspended in any physiological acceptable solvent such a saline or phosphate buffer, optionally using a suspending or emulsifying agent.

The methods of polymerization used for the stabilisation of the vesicles, are well established 20 methods in polymer chemistry, i.e. as described in "Comprehensive Polymer Science", Vol 1-7, Pergamon Press, Oxford 1989, or "Methoden der Organischen Chemie", Houben-Weyl, Makromolekulare Stoffe Band E20/1-3, Georg Thieme Verlag, Stuttgart 1987. Examples of 25 suitable methods may be chain polymerization methods such as ionic or radical polymerisation or metal catalysed polymerisation, or the systems may polymerize spontaneously by step polymerisation when monolayers or vesicles are formed. Initiators may be UV-irradiation 30 or simple pH-change, or radical initiators. Particularly interesting here may be encapsulation of a substance which, by slight increase in temperature develops a gas, and simultaneously generates free radicals which initiates polymerisation of the 35 surrounding shell. Such a substance is described in "Comprehensive Polymer Science", Vol 3, Pergamon Press, Oxford 1989, p.p. 99, i.e. azo-bis-isobutyronitrile

(AIBN), which by UV-irradiation, or by warming to 40°C starts generating N₂ while generating two molecules of cyano-isopropyl radicals which may initiate polymerisation or rapidly pair. Polymerisation of amphiphiles containing unsaturated groupings may also be initiated by sonication (see Price et al., Brit. Polym. J. 23 (1990), 63-66), e.g. when this is used to generate a gas-in-liquid emulsion as described in greater detail hereafter.

10

15

20

25

5

A gas entrapped system may be obtained by using a gas precursor or the gas itself may be entrapped. gas may be entrapped into the amphiphile mixture simply by vigorously shaking the mixture in the presence of air, i.e. creating a gas-in-liquid emulsions as described in US 4684479. Another well established method, described e.g. in US 4774958 for creating a gas containing bubble is by sonication of the mixture in the presence of air. Another well known method comprises passing gas through a syringe into a mixture of amphiphile and liquid. As described in US 3900420 the microgas-emulsion may be created by using an apparatus for introducing gas rapidly into a fast-flowing liquid. A region of low pressure is created in a liquid containing the amphiphile. The gas is then introduced to the region of low pressure and the gas-in-liquid system is obtained by pumping the liquid through the system.

30 By using the principle of electrolysis it is possible to generate the gas to be entrapped directly in a container containing the amphiphiles. The electrolytes necessary for the electrolysis may even help to further stabilize the amphiphiles to make the polymerisation possible. An aqueous solution containing electrolytes may generate hydrogen gas at the cathode and oxygen at the anode. The electrodes may be

separated by a salt bridge. On adding hydrazine nitrogen gas may be generated at the anode. Using the Kolbe reaction, one may also generate CO₂ from carboxylic acids using electrolysis.

5

10

15

20

As described above, gas entrapped vesicles may be obtained by forming liposomes or vesicles consisting of one or more bilayers. These vesicles may be formed at elevated pressure conditions in such a way that the gas is entrapped in the vesicles.

It is also possible to form a liquid-liquid (e.g. oil-in-water emulsion in the presence of amphiphile systems as discussed above, e.g. by sonication, to form liquid-containing vesicles which can then be polymerised. The polymerised vesicles may then be treated to remove the liquid (conveniently a volatile hydrocarbon) therefrom by evaporation, where the boiling point of the liquid is relatively low, or by extraction with a low-boiling solvent which can itself be removed by evaporation. Evaporation of low-boiling liquid cores may also occur spontaneously during sonication. Where the liquid in the vesicles is water, it can be removed by freeze drying.

25

The following Examples are given by way of illustration only;

Bis-linoleyl-lecithin is commercially available from Lipids Products, Surrey, UK:-

Example 1

A saturated solution of the bis-linoleyl-lecithin in an aqueous medium is obtained by mixing 100 mg of the amphiphile in 100 ml of sterile, pyrogen free water. The saturated solution is filtered through a 0.45 μm

10

15

filter, and the resulting solution is sonicated for 1-10 minutes in the presence of air. During the sonication, air is entrapped into the solution and a gas-in-liquid emulsion is formed. Polymerization of the monolayer of the amphiphile at the gas-liquid interphase is achieved by UV-irradiation of the solution at 254 μm using a xenon lamp, or by addition of a radical initiator.

The resulting product contains microspheres with gas entrapped. The microspheres are separated from excess polymerised amphiphiles using a separating funnel. The resulting microspheres are resuspended in sterile, pyrogen-free saline, and filled into 10 ml vials. The product is produced using aseptic techniques in a "clean room" (LAF-station) to obtain a sterile, pyrogen free product. The particle sizes of the microspheres are in the range of 0.5-10 μm.

Example 2

20

30

35

Example 1 is repeated using as polymerisable amphiphile the compound bis-(trieicoso-10,12-diynoyl) phosphatidyl choline (Hirth et al; Helv Chim Acta 40, 957, 1928).

25 Example 3

mixture of chloroform/methanol. The mixture is poured into a round bottom flask, and the organic phase is evaporated using a rotavapor in such a way that a thin film of the lecithin derivative is formed at the inner surface of the flask. 10 ml of sterile, pyrogen-free-free water are added and the lipids are dispersed in the solution by sonication at the air/liquid interphase for 5-15 minutes. Gas entrapped vesicles are formed, and the gas-containing microspheres are polymerised by UV-irradiation of the solution at 254 nm using a xenon-lamp

10

or by addition of a radical initiator under continuous stirring. Polymerised gas-entrapped vesicles are separated from excess polymerised amphiphiles using a separating funnel. The resulting vesicles are suspended in sterile, pyrogen free saline and filtered to obtain a product which contains microspheres in the range of 0.5-5 μ m. The product is produced using aseptic techniques in a "clean room" (LAF-station) to obtain a sterile, pyrogen free product. The final product is filled into 10 ml vials.

Example 4

Example 3 is repeated using as polymerisable amphiphile the compound bis-(trieicoso-10,12-diynoyl) phosphatidyl choline (Hirth et al; Helv Chim Acta 40, 957, 1928).

Preparation of polymerisable amphiphiles

20 Example 5 Tetraethylene glycol mono-12(methacryloyloxy)dodecanoate

12-(Methacryloyloxy) dodecanoic acid (Regen et al., J. 25 Am. Chem. Soc. 1982, 104, 795) (2.75 g, 9.65 mmol) was dissolved in tetrahydrofuran (45 ml) and a solution of oxalyl chloride (2.1 ml, 24.2 mmol) in tetrahydrofuran (5 ml) was added dropwise. The mixture was stirred for 24 hours at room temperature, and then the solvent was 30 evaporated under reduced pressure. The residue was dissolved in tetrahydrofuran (25 ml) and added dropwise to a solution of tetraethylene glycol (1.88 g, 9.65 mmol) and pyridine (0.92g, 11.7 mmol) in tetrahydrofuran (35 ml). The mixture was stirred for 24 hours at room temperature. The precipitated pyridinium salt was 35 filtered off and the solvent evaporated. Chromatographic purification on a silica gel column

30

(ethyl acetate) afforded 1.67 g (38%) of the <u>title</u> compound. ¹H NMR (60 MHz, CDCl₃): δ 1.3 (br s, 18H, (CH₂)₉), 1.95 (m, 3H, C=CCH₃), 2.1-2.6 (m, 2H, CH₂COO), 3.5-3.8 (m, 14H, 3xCH₂OCH₂CH₂+COOCH₂CH₂), 4.0-4.4 (m, 4H, COOCH₂), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

Example 6 Polyethylene glycol (550) methyl ether 12-(methacryloyloxy)dodecanoate

10 12-(Methacryloyloxy) dodecanoic acid (1.90 g, 6.69 mmol) was dissolved in tetrahydrofuran (20 ml) and a solution of oxalyl chloride (2.12 g, 16.7 mmol) in tetrahydrofuran (10 ml) was added dropwise. The mixture was stirred for 24 hours at room temperature, and then 15 the solvent was evaporated under reduced pressure. residue was dissolved in tetrahydrofuran (10 ml) and added dropwise to a solution of polyethylene glycol (550) monomethyl ether (3.68 g, 6.69 mmol) and pyridine (0.53 g, 6.69 mmol) in tetrahydrofuran (25 ml). 20 mixture was stirred for 24 hours at room temperature. The precipitated pyridinium salt was filtered off and the solvent evaporated. Chromatographic purification on a silica gel column (chloroform) afforded 2.31 g (42.3%) of the title compound. H NMR (60 MHz, CDCl₃): δ 1.3 (br 25 s, 18H, $(CH_2)_9$, 1.95 (m, 3H, C=CCH₃), 2.1-2.5 (m, 2H, CH₂COO), 3.11 (s, 3H, CH₃O), 3.5-3.8 (m, 25H (average), CH,OCH,CH,+COOCH,CH,), 3.9-4.4 (m, 4H, COOCH,), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

Example 7 Polyethylene glycol (2000) methyl ether 12-(methacryloyloxy)dodecanoate

35 12-(Methacryloyloxy)dodecanoic acid (2.84 g, 0.01 mol) in tetrahydrofuran (20 ml) was reacted with oxalyl chloride (3.0 g, 0.024 mol) to obtain the corresponding

10

acid chloride. This acid chloride (3.0 g, 0.01 mol) dissolved in anhydrous tetrahydrofuran (10 ml) was added dropwise to a mixture of polyethylene glycol (2000) monomethyl ether (20.0 g, 0.01 mol) and anhydrous pyridine (0.83 g, 0.01 mol) in anhydrous tetrahydrofuran (300 ml). The mixture was stirred for 48 hours at room temperature. The resulting liquid was purified by flash chromatography (silica gel/ethyl acetate) to give 16.5 g (75%) of the title compound. H NMR (60 MHz, CDCl₃): δ 1.20 (s, 18H, CH₂), 2.15 (m, 2H, CH₂COOH), 3.5 (s, 3H, CH₃O), 3.6 (s, 180H, 90xCH₂O), 4.0 (m, 4H, 2xCOOCH₂), 5.7-6.0 (m, 3H, CH₂= and =CH).

Example 8

15 a) 16-(Methacryloyloxy)hexadecanoic acid

16-Hydroxyhexadecanoic acid (6.81 g, 25.0 mmol) was dissolved in tetrahydrofuran (150 ml) and the solution was cooled to 0°C before adding pyridine (2.73 g, 34.5 mmol). Methacryloyl chloride (2.61 g, 25.0 mmol) was 20 dissolved in tetrahydrofuran (75 ml) and added dropwise. The mixture was stirred for 1 hour at 0°C, and then at room temperature for 24 hours. The solvent was removed under reduced pressure (room temperature), the residue suspended in ether (100 ml) and the mixture washed with 25 distilled water. The ether layer was dried (MgSO4) and the ether evaporated. Chromatographic purification on a silica gel column (1:2 ethyl acetate/hexane) afforded 5.0 g (64%) of the title compound. 1H NMR (60 MHz, $CDCl_3$): δ 1.3 (br s, 26H, $(CH_2)_{13}$), 1.95 (m, 3H, $C=CCH_3$), 30 2.1-2.6 (m, 2H, CH₂COO), 4.0-4.4 (m, 2H, COOCH₂), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

b) <u>Tetraethylene glycol mono-</u>

35 <u>16-(methacryloyloxy)hexadecanoate</u>

16-(Methacryloyloxy)hexadecanoic acid (2.05 g, 6.57

10

15

25

30

35

mmol) was dissolved in tetrahydrofuran (25 ml) and a solution of oxalyl chloride (1.4 ml, 16.5 mmol) in tetrahydrofuran (10 ml) was added dropwise. The mixture was stirred for 24 hours at room temperature, and then the solvent was evaporated under reduced pressure. residue was dissolved in tetrahydrofuran (10 ml) and added dropwise to a solution of tetraethylene glycol (1.07 g, 5.50 mmol) and pyridine (0.44 g, 5.50 mmol) in tetrahydrofuran (25 ml). The mixture was stirred for 24 hours at room temperature. The precipitated pyridinium salt was filtered off and the solvent evaporated. Chromatographic purification on a silica gel column (2:1 ethyl acetate/hexane) afforded 0.84 g (30%) of the title compound. ¹H NMR (60 MHz, CDCl_x): δ 1.3 (br s, 26H, $(CH_2)_{13}$, 1.95 (m, 3H, C=CCH₃), 2.1-2.6 (m, 2H, CH₂COO), 3.5-3.8 (m, 14H, 3xCH, OCH, CH, +COOCH, CH,), 4.0-4.4 (m, 4H, COOCH₂), 5.52 (m, 1H, vinyl), 6.10 (m, 1H, vinyl).

Example 9

20 <u>Polyethylene glycol (350) methyl ether</u> <u>16-(methacryloyloxy)hexadecanoate</u>

The product was prepared from 16-(methacryloyloxy)hexadecanoic acid (prepared as described in Example
8(a)), and polyethylene glycol (350) monomethyl ether
using the procedure given in Example 6.

Example 10

a) 12-(Acryloyloxy) dodecanoic acid

12-Hydroxydodecanoic acid (5.0 g, 0.023 mol) dissolved in tetrahydrofuran (100 ml) and pyridine (2.16 g, 0.027 mol) was cooled to 0°C. Acryloyl chloride (3.15 g, 0.023 mol) in tetrahydrofuran (75 ml) was then added dropwise to the solution. The mixture was stirred for 5 hours at 0°C then stirred overnight at room temperature. The precipitated pyridinium salt was filtered off and

the solvent removed under vacuum. The resulting liquid was purified by flash chromatography (silica gel/chloroform) to give 2.5 g (40%) of the <u>title compound</u>.

¹H NMR (60 MHz, CDCl₃): δ 1.20 (s, 18H, CH₂), 2.15 (m, 2H, CH₂COOH), 4.0 (m, 2H, COOCH₂), 5.7-6.0 (m, 3H, CH₂= and =CH).

b) <u>Tetraethylene glycol mono- 12-(acryloyloxy)</u> <u>dodecanoate</u>

10

5

12-Acryloyloxydodecanoic acid (2.00 g, 0.007 mol) in diethyl ether (20 ml) was reacted with oxalyl chloride (2.40 g, 0.019 mol) to obtain the corresponding acid chloride. This acid chloride (1.80 g, 0.006 mol) dissolved in anhydrous chloroform (10 ml) was added 15 dropwise to a mixture of tetraethylene glycol (1.20 g, 0.006 mol) and anhydrous pyridine (0.50 g, 0.006 mol) in anhydrous chloroform (30 ml). The mixture was stirred overnight at room temperature. The resulting liquid was purified by flash chromatography (silica gel/ethyl 20 acetate) to give 1.10 g (40%) of the title compound as a colourless oil. ¹H NMR (60 MHz, CDCl₃): δ 1.20 (s, 18H, CH_2), 2.15 (m, 2H, CH_2 COOH), 3.50 (s, 3H, CH_3 O), 3.6 (s, 14H, 7xCH₂O), 4.0 (m, 5H, 2xCOOCH, and OH), 5.7-6.0 (m, 25 3H, $CH_2 = and = CH)$.

Example 11

Tetraethylene glycol mono- 10,12-tricosadiynoate

10,12-Tricosadiynoic acid (2.50 g, 0.007 mol) in tetrahydrofuran (30 ml) was reacted with oxalyl chloride (2.25 g, 0.017 mol) to obtain the corresponding acid chloride. This acid chloride (2.45 g, 0.007 mol) dissolved in anhydrous tetrahydrofuran (10 ml) was added dropwise to a mixture of tetraethylene glycol (1.32 g, 0.007 mol) and anhydrous pyridine (0.83 g, 0.01 mol) in anhydrous tetrahydrofuran (40 ml). The mixture was

stirred overnight at room temperature. The precipitated pyridinium salt was filtered off and the solvent removed under vacuum. The resulting liquid was purified by flash chromatography (silica gel/ethyl acetate) to give 1.50 g (41%) of the title compound as a colourless oil. H NMR (60 MHz, CDCl₃): δ 0.88 (m, 3H, CH₃CH₂), 1.30 (m, 28H, CH₂), 2.20 (m, 6H, CH₂), 3.65 (s, 14H, 7xCH₂O), 4.20 (m, 2H, CH₂CO).

10 Example 12 Polyethylene glycol (550) methyl ether 10.12-tricosadiynoate

10,12-Tricosadiynoic acid (2.50 g, 0.007 mol) in tetrahydrofuran (30 ml) was reacted with oxalyl chloride 15 (2.25 g, 0.017 mol) to obtain the corresponding acid chloride. This acid chloride (2.45 g, 0.007 mol) dissolved in anhydrous tetrahydrofuran (10 ml) was added dropwise to a mixture of polyethylene glycol (550) monomethyl ether (3.85 g, 0.007 mol) and anhydrous 20 pyridine (0.83 g, 0.01 mol) in anhydrous tetrahydrofuran (30 ml). The mixture was stirred overnight at room temperature. The precipitated pyridinium salt was filtered off and the solvent removed under vacuum. resulting liquid was purified by flash chromatography 25 (silica gel/ethyl acetate) to give 2.72 g (41%) of the title compound as a colourless oil. 1H NMR (60 MHz, $CDCl_3$): δ 0.88 (m, 3H, CH_3CH_2), 1.30 (m, 28H, CH_2), 2.20 (m, 6H, CH₂), 3.65 (s, 48H, 24xCH₂CO), 3.50 (s, 3H, $CH_3O)$, 4.20 (m, 2H, CH_2CO). 30

Example 13

- a) Methyl 10,12-tricosadiynoate
- 10,12-Tricosadiynoic acid (3.0 g, 0.0084 mol), methanol (15 ml) and concentrated sulfuric acid (0.8 ml) were heated to reflux and stirred for 1 hour. The cooled

mixture was taken up in ether (40 ml) and washed with 10% NaHCO₃ (20 ml) and water (20 ml), and the organic phase was dried (MgSO₄). Evaporation of the solvent gave 2.68 g (74%) of the <u>title compound</u>. ¹H NMR (60 MHz, CDCl₃): δ 0.98 (m, 3H, CH₃CH₂), 1.28 (m, 28H, CH₂), 2.25 (m, 6H, CH₂), 3.70 (s, 3H, CH₃O).

b) N-(2',3'-Dihydroxypropyl)-10,12-tricosadiynamide

Methyl 10,12-tricosadiynoate (1.69 g, 4.67 mmol) was dissolved in methanol. 3-Amino-1,2-propanediol (0.509 g, 5.6 mmol) and sodium methoxide 2.5% solution in methanol (0.146 g, 3 mol%) was added. The mixture was refluxed for 3 hours and the solvent evaporated. The crude product was recrystallized from chloroform. Yield: 1.00 g (51%). ¹H NMR (60 MHz, CDCl₃): δ 0.7-1.0 (m, 3H, CH₃CH₂), 1.3 (s, br, 28H, CH₂), 2.0-2.4 (m, 6H, CH₂), 3.3-3.8 (m, 5H, 2xCH₂ + CH (propanediol)), 6.0-6.3 (m, 1H, NH).

20

Example 14

N.N'-bis(2,3-dihydroxypropyl)2,4,6-triiodo-5-(tricosa-10,12-diynoylamino)isophthalamide

25 5-Amino, N, N'-bis(2, 3-diacetoxypropyl)-2, 4, 6triiodoisophthalamide (2.19 g, 2.5 mmol) and 10,12tricosadiynoyl chloride (1.82 g, 5 mmol) were dissolved in 20 ml dichloromethane. The solution was stirred for 3 days at ambient temperature under a nitrogen 30 atmosphere. TLC (ethyl acetate) indicated that the reaction was complete. The reaction mixture was evaporated and dissolved in a mixture of methanol (30 ml) and 1M sodium hydroxide solution (15 ml). After 1 hour TLC (methanol/chloroform) indicated that the 35 reaction was complete. The solution was neutralized with concentrated hydrochloric acid. The residue was dissolved in chloroform and filtered. The solvent was

removed and the reaction mixture was purified through silica gel with methanol/chloroform (1:3) to give the title compound. ¹H NMR (300 MHz, DMSO): δ 0.8 (CH₃, t), 1.2-1.7 (17xCH₂, m), 2.2-2.3 (2xCH₂, t), 3.1-3.2 (2xCH₂NH, m), 3.3-3.5 (2xCH₂OH, m), 3.6-3.8 (2xCHOH), 4.4-4.7 (4xOH, m), 8.4-8.5 (2xCONH, m), 9.8 (2xArNHCO, s).

Example 15

10 N-(3',4',5'-Trihydroxy-6'-hydroxymethyltetrahydropyran-2'-yl)-10,12-tricosadiynamide

1-Amino-1-deoxy-β-D-galactose (180 mg, 1 mmol), 10,12tricosadiynoic acid (350 mg, 1 mmol) and 1-ethyl-3-(3dimethylaminopropyl) carbodiimide were dissolved in 25 ml
dry dimethylformamide and stirred at room temperature
overnight. The solvent was removed in vacuo, the
residue redissolved in chloroform/methanol (1:1),
filtered and purified by straight phase chromatography
on a CHROMATOTRON. The relevant fractions were
collected, concentrated in vacuo, and the product was
characterised by NMR.

Example 16

30

35

25 6-(2',6'-Diaminohexanoylamino)-3,4,5-trihydroxytetrahydropyran-2-ylmethyl 10,12-tricosadiynoate

1-Amino-1-deoxy-β-D-galactose (180 mg, 1 mmol), and Fmoc-Lys(Boc)-OPfp (650 mg, 1 mmol) were dissolved in 4 ml dry dimethylformamide and stirred at room temperature overnight. The solvent was removed in vacuo, the residue was redissolved in acetonitrile/water (1:1), filtered and purified by reversed phase chromatography (Lobar RP8B, acetonitrile/water 50:50 and 65:35). The relevant fractions were collected, concentrated in vacuo, and the product was characterised by NMR. The purified product (1 g, 1 mmol), 10,12-tricosadiynoic

10

15

20

acid (350 mg, 1 mmol) and 1-ethyl-3-(3dimethylaminopropyl) carbodiimide are dissolved in 10 ml dry dimethylformamide and stirred at room temperature overnight. The solvent is removed in vacuo, the residue redissolved in chloroform/methanol (95:5), filtered and purified by straight phase chromatography on a CHROMATOTRON. The relevant fractions are collected, concentrated in vacuo, and the product is characterised The protecting groups of the $\alpha-\epsilon$ amino groups are removed by standard reactions. Boc is removed by treatment with trifluoroacetic acid/methylene chloride for 30 minutes. The solvent is removed in vacuo. Fmoc is removed by treating the residue with 20% piperidine in dimethylformamide for 30 minutes, and the solvent is removed in vacuo. The final product is purified by reversed phase chromatography (Lobar RP8B).

Example 17

(3.4.5.6-Tetrahydroxytetrahydropyran-2-ylmethyl)
10.12-tricosadiynoate

1,2;3,4-di-O-isopropylidene-D-galactopyranose (2.6 g, 10 mmol) and 10,12-tricosadiynoic acid (3.5 g, 10 mmol) were dissolved in 25 ml methylene chloride. 1-Ethyl-3(3-dimethylaminopropyl)carbodiimide (2 g, >10 mmol) was 25 added neat. The reaction mixture was stirred overnight at room temperature. The reaction mixture was diluted to 100 ml, extracted with water (2x25 ml), dried over MgSO, and the solvent was removed in vacuo. 30 product was treated with trifluoroacetic acid (10 ml) at room temperature for 30 minutes, evaporated in vacuo, and purified by straight phase chromatography on a CHROMATOTRON, eluted with methanol/chloroform (5:95). The product was characterised by NMR.

10

15

20

25

30

<u>Preparation of ultrasound contrast agents</u> <u>Examples 18-41</u>

i) General preparative procedure

The polymerisable amphiphile was dissolved in a minimum of methanol and added to a mixture of water and a hydrocarbon. A comonomer and/or 2,2'azobisisobutyronitrile (AIBN) dissolved in a minimum of methylene chloride were optionally added and nitrogen was bubbled through the mixture for 1 minute, whereafter the mixture was sonicated under a nitrogen atmosphere using a LABSONIC 2000 apparatus, the sonication probe (length 127 mm, diameter 9.5 mm) being placed 2-3 cm below the surface of the mixture and the energy used being "full scale" or "half scale" in the low position. The resulting emulsions were optionally irradiated with UV light under a nitrogen atmosphere or treated with a redox initiator comprising potassium metabisulphite (0.05 g, 0.22 mmol) in water (1 ml) and potassium peroxosulphate (0.0023 g, 3.3×10^{-3} mmol) in water (1 The procedure was modified in Example 31 in that AIBN was added and the mixture was then shaken by hand, whereafter a first portion of comonomer was added and sonication was effected while nitrogen gas was bubbled through the mixture. A further portion of comonomer was then added and the resulting emulsion subjected to UV irradiation.

The specific reaction conditions employed in each Example are set out in Table 1. Similar conditions, e.g. involving sonication for 5 minutes using the full scale setting and irradiating for 1 hour or adding the above-described redox initiator system and stirring carefully for 30 minutes, may be employed to treat the amphiphiles prepared in Examples 14-17.

Table 1 - Reaction conditions

| Redox system | | | | • | | | | • | ✓(Ex 26b) | | • | | |
|---|------------------|-------------------|-----------------|------------------|------------------|------------------|------------|-------------------|------------|------------|-------------------|------------|------------------|
| Duration of UV irradiation (hours) | | • | 1.5 | - . | - | | - | 25 | 1(Ex 26a) | - | • | • | - |
| Sonication level and duration (minutes) | fs-5 | hs-3 | fs-6 | fs-3 | fs-3 | fs-4 | fs-8 | fs-8 | is-3 | 6-3 | fs-3 | fs-3 | fs-3 |
| Quantity of AIBN (g/mmol) | | | 0.20/1.21 | 0.02/0.12 | 0.02/0.12 | 0.02/0.12 | 0.200/1.20 | 0.200/1.20 | 0.020/0.12 | 0.020/0.12 | 0.020/0.12 | 0.020/0.12 | 0.020/0.12 |
| Comonomer and quantity (g/mmol) | MM 0.018/0.18 | MIM 0.018/0.18 | MM 0.18/1.8 | 0.09/0.9 | 0.018/0.18 | 0.018/0.18 | 0.180/1.80 | MIM 0.180/1.80 | 0.018/0.18 | 0.018/0.18 | MIM 0.018/0.18 | 0.018/0.18 | SI 0.042/0.41 |
| Hydrocarbon and volume (ml) | PE-5 | IP-5 | IP-50 | (P-5 | PE-2.5 | PE-5 | PE-50 | PE-50 | PE-2 | PE-25 | PE-1 | TO-5 | PE-5 |
| Volume of water (ml) | 50 | 20 | 200 | 20 | 50 | 50 | 200 | 200 | 8 | 20 | S | 20 | 50 |
| Example in which amphiphile prepared and quantity used (g/mmol) | 5 0.039/0.084 | 0.037/0.080 5 | 0.383/0.83 5 | 0.042/0.091 5 | 0.040/0.086 6 | 0.053/0.065 6 | 0.530/0.65 | 0.500/0.61 | .200/0.245 | .053/0.065 | 0.054/0.066 6 | .054/0.066 | 0.056/0.069 |
| Example No | 18 | 6 | 50 | 21 | 22 | R3 | 24 | 25 | 56 | 27 | 28 | 82 | 30 |

| Example No | Example No Example in which Volume amphiphile prepared of water and quantity used (ml) (g/mmol) | Volume of water (ml) | Hydrocarbon and volume (ml) | Comonomer and quantity (g/mmol) | Quantity of AIBN (g/mmol) | Sonication level and duration (minutes) | Duration of UV irradiation (hours) | Redox system |
|------------|---|----------------------|-----------------------------------|---------------------------------------|---------------------------------|---|--|-----------------|
| 31 | 6 0.057/0.070 | 90 | PE-5 | ST 0.042/0.41 +0.099/0.95 | 0.020/0.12 fs-3 | ls-3 | - | |
| 32 | 0.054/0.066 7 | 20 | P-5 | | 0.020/0.12 | fs-6 | ı | • |
| 33 | 0.193/0.090 8(h) | 20 | PE-5 | 0.018/0.18 MM | 0.02/0.12 | 6-3 | · | ı |
| 8 | 0.042/0.081 8(h) | 20 | P-5 | 0.018/0.18 5T | 0.020/0.12 | hs-3 | - | • |
| 35 | 0.046/0.089 | 50 | PE-5 | 0.042/0.41 | 0.020/0,12 | fs-3 | | |
| 36 | 0.052/0.077 | 20 | PE-5 | | | fs-3 | | |
| 37 | 0.036/0.08 | 20 | PE-5 | 0.018/0.18 | 0.02/0.12 | fs-3 | - | ŧ |
| 38 | 0.047/0.09 | 20 | PE-5 | | 0.02/0.12 | fs-6 | • | |
| 39 | 0.080/0.15 | 20 | PE-5 | • | 0.02/0.12 | fs-3 | - | • |
| 40 | 0.057/0.06 | 20 | PE-5 | • | 0.02/0.12 | fs-3 | | \$ |
| 14 | 0.046/0.11 | 20 | PE-5 | r | 0.02/0.12 | fs-3 | • | ı |

KEY - PE = petroleum ether (b.p. 40-60°C); IP = isopentane; TO = toluene; MM = methylmethacrylate; ST = styrene; fs = full scale; hs = half scale * Amount of potassium peroxosulphate reduced to 0.002g (0.003 mmol)

ii) Acoustic characterisation

The acoustic effects of the products of Examples 18-41 were investigated by measuring their ultrasonic transmission as a function of time, over a period of 90 seconds. The tests were performed on samples of emulsified material as formed immediately after sonication and, where appropriate, on the material after subjection to UV irradiation or redox initiation. In the case of Example 25 the sample removed after irradiation was retested after dilution with water (1:1). In the case of Example 31 a sample removed after the manual shaking was also tested. A 3.5 MHz broadband transducer was used in a pulse-reflection technique. All the readings were stable during the 90 seconds measurement period, so that a single value (in dB/cm) is sufficient to describe each 90 second measurement. In certain cases the measurements were repeated at time intervals to investigate further the stability of the ultrasound contrast agents. The results are presented in Table 2, the time intervals (in minutes from sonication) to acoustic characterisation are given in brackets for each reading.

Table 2 Acoustic characterisations

| Example No. | Acoustic effect after sonication | Acoustic effect after UV irradiation/redox initiation |
|-------------|----------------------------------|---|
| 18 | 2.6 (0) | |
| 19 | 3.7 (0) | 1 4 (00) |
| 20 | 3.7 (0) 1.7 (90) | 1.4 (90) |
| 21 | 0.6 (0) | 0 (60) |
| 22 | 0.7 (0) | 0.5 (60) |
| | 0.9 (5) | 0 (120) |
| 23 | 5.9 (0) | |
| | 4.3 (104) | 4 1 (60) |
| 24 | 6.0 (0) | 4.1 (60) |
| 25 | 4.4 (0) | |
| | 4.2 (30) 2.9 (150) | 2.9 (150) |
| | 2.9 (130) | 1.4 (150) diluted |
| 26 | 4.0 (0) | 2.8 (20, redox) |
| 20 | 1.8 60) | 0.4 (60, UV) |
| 27 | 3.6 (0) | 2.9 (10) |
| | 3.2 (10) | 2.3 (60) |
| | 3.6 (60) | 0.6 (720) |
| 28 | 0.9 (0) | |
| 29 | 0.6 (0) | |
| 30 | 5.7 (0) | |
| | 4.1 (60) | 3.2 (60) |
| 23 | 3.2 (150) 2.5 (after shaking) | 2.6 (150) |
| 31 | 5.4 (0) | |
| | 4.0 (60) | 2.2 (60) |
| | 3.3 (150) | 1.7 (150) |
| 32 | 4.9 (0) | • |
| 33` | 5.5 (0) | |
| | 4.7 (20) | |
| | 3.5 (60) | 2.4 (60) |
| • | 3.1 (100) | 0 (60) |
| 34 | 2.2 (0) | 0 (60) |
| 35 | 1.1 (0) 2.1 (0) | |
| 36 37 | 1.7 (0) | 0 (60) |
| 38 | 4.5 (0) | 0 (00) |
| 39 | 5.6 (0) | |
| = = | 4.7 (60) | 4.9 (60) |
| | 4.5 (120) | 4.3 (120) |
| 40 | 3.6 (0) | 0 (60) |
| 41 | 5.3 (0) | |
| | | |

iii) Microscopy analysis

A selection of the products from Examples 18-41 5 were investigated using a light microscope (Nikin UFX-II) with a micrometer scale. The investigations were generally performed by taking out samples of emulsified material as formed immediately after sonication, except for Example 31 (where the sample was withdrawn after 10 manual shaking), Example 39 (where the sample was withdrawn after UV irradiation) and Example 40 (where samples were withdrawn both immediately after sonication and after redox initiation), and placing each sample between two glass plates. The results of these 15 investigations are presented in Table 3; the time intervals (in minutes from sonication) to microscopy analysis are given for each sample.

Table 3 Microscopy analysis

| Example No. | Time after sonication (min) | Size (diam., μm) | Comments (shape, size distribution) |
|-------------|-----------------------------|---------------------|---|
| 25 | 10 | 4 | spheres, narrow size distribution |
| 26 | 10 | 10-25 | spheres |
| 27 | 10 | 4 | spheres, narrow size distribution |
| 28 | 10 | 4-6 | spheres, narrow size distribution |
| 29 | 10 | variable | various shapes, broad size distribution |
| 30 | 10 | 4-6 | spheres, narrow size distribution |
| 31 | 10 (after shaking) | 10-100 | large bubbles, unlike the sonicated samples |
| 33 | 10 | 2-3 | spheres |
| 35 | 10 . | 10-15 | spheres |
| 36 | 10 | 8-15 | spheres, broad size distribution |
| 38 | 10 | 5-10 | spheres |
| 39 | 10 | 5-10 | spheres, also larger bubbles |
| 40 | 30 | 5-10 | spheres |
| 40 | 30 (after redox) | variable | bubbles of irregular shape |
| 41 | 10 | 4 | spheres, narrow size distribution |

iv) Size Exclusion Chromatography

Size Exclusion Chromatrography (SEC) was performed on the freeze dried product from Example 25 using 5 tetrahydrofuran (Rathburn HPLC quality) as eluant and refractive index as detector (Knauer, Germany). column set used consisted of 3 x 30cm columns containing $5\mu \text{m}$ styrogel with pore sizes of 10^5 , 10^4 , and 500\AA (Polymer Laboratories Ltd., England). Calibration was made against polystyrene standards (Polymer Laboratories 10 Ltd., England). The amphiphilic monomer starting material gave a peak molecular weight of 1,600 Daltons and the polymer product gave a peak molecular weight of 22,000 Daltons, both given in polystyrene equivalents. 15 Using the conversion factor of 0.59 for converting from polystyrene equivalents to "real" molecular weights (the value for PEG given by Dawkins et al., J. Lig. Chromatog. 7, 1739, (1984), these correspond to molecular weights of 944 Daltons for the monomer and 20 13,000 Daltons for the polymer respectively.

30

CLAIMS

- 1. Contrast agents for use in diagnostic ultrasound studies comprising microbubbles of gas or a gas precursor encapsulated by non-proteinaceous crosslinked or polymerised amphiphilic moieties.
 - Contrast agents as claimed in claim 1 in the form of gas-filled microballoons.
- 3. Contrast agents as claimed in claim 1 or claim 2 containing biodegradable linkages selected from amide, imide, imine, ester, anhydride, acetal, carbamate, carbonate, carbonate ester and disulphide groups.
 - 4. Contrast agents as claimed in claim 3 containing biodegradable crosslinking groups.
- Contrast agents as claimed in claim 4 wherein the
 biodegradable crosslinking groups include units of formula
- -(Y)_n.CO.O.C(R¹R²).O.CO.(Z)_n
 (where Y and Z, which may be the same or different,
 are -O-, -S- or -NR³-; R¹ and R², which may be the same

 or different, are hydrogen atoms or carbon-attached
 monovalent organic groups or together represent a
 carbon-attached divalent organic group; R³ is a hydrogen
 atom or an organic group; and the symbols n, which may
 be the same or different, are zero or 1).
 - 6. Contrast agents as claimed in claim 1 or claim 2 obtained from polymerisable amphiphilic moieties containing unsaturated lipophilic chains.
- 7. Contrast agents as claimed in claim 6 wherein the unsaturated lipophilic chains are oleyl or linoleyl groups or contain diacetylene groupings or acryloyl or

methacryloyl groupings.

- 8. Contrast agents as claimed in any of the preceding claims wherein the hydrophilic portion of the amphiphile contains one or more groups selected from quaternary ammonium, hydroxyl, carboxy, carboxylate ion, amide, phosphate, sulphate and sulphonate.
- 9. Contrast agents as claimed in claim 8 wherein the
 10 hydrophilic portion of the amphiphile is the triglyceryl
 moiety of a phospholipid, an iodinated X-ray contrast
 agent, a carbohydrate, or a choline, ethanolamine,
 serine or glycerol residue.
- 15 10. Contrast agents as claimed in any of claims 1 to 7 wherein the hydrophilic portion of the amphiphile is an optionally etherified polyoxyethylene glycol residue.
- 11. Contrast agents as claimed in claim 10 wherein the
 20 amphiphile is tetraethylene glycol mono-12(methacryloyloxy)dodecanoate.
- 12. Contrast agents as claimed in claim 10 wherein the amphiphile is polyethylene glycol (550) methyl ether 12-25 (methacryloyloxy)dodecanoate.
 - 13. Contrast agents as claimed in claim 10 wherein the amphiphile is polyethylene glycol (2000) methyl ether 12-(methacryloyloxy) dodecanoate.
 - 14. Contrast agents as claimed in claim 10 wherein the amphiphile is tetraethylene glycol mono-16- (methacryloyloxy)hexadecanoate.
- 15. Contrast agents as claimed in claim 10 wherein the amphiphile is polyethylene glycol (350) methyl ether 16-(methacryloyloxy)hexadecanoate.

- 16. Contrast agents as claimed in claim 10 wherein the amphiphile is tetraethylene glycol mono-12-(acryloyloxy)dodecanoate.
- 5 17. Contrast agents as claimed in any of the preceding claims further containing an inorganic particulate stabiliser.
- 18. A process for the preparation of a contrast agent
 10 as claimed in claim 1 which consists of forming a fluid
 dispersion of vesicles comprising a gas or gas precursor
 encapsulated by amphiphilic material and thereafter
 crosslinking or polymerising said amphiphilic material.
- 19. A process as claimed in claim 11 wherein the fluid dispersion is prepared by sonication to generate an oil-in-water emulsion in which a volatile hydrocarbon is encapsulated by the amphiphilic material and said volatile hydrocarbon is partially or completely removed from the vesicles after crosslinking or polymerisation of the amphiphilic material.

INTERNATIONAL SEARCH REPORT

International Applica

No

PCT/EP 92/00715

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) According to International Patent Classification (IPC) or to both National Classification and IPC Int.Cl.5 A 61 K 49/00 II. FIELDS SEARCHED Minimum Documentation Searched? Classification System Classification Symbols Int.C1.5 A 61 K Documentation Searched other than Minimum Documentation to the Except that such Documents are Included in the Fields Searched III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹ Citation of Document, 11 with indication, where appropriate, of the relevant passages 12 Relevant to Claim No.13 Category o P,X WO,A,9204392 (HOLMES) 19 March 1992, 1-19 see claims 1,10,11; page 4, lines 14-36; page 8, line 18 - page 9, line 3 Y EP,A,0327490 (SCHERING AG) 9 August 1-19 1989, see column 3, lines 37-45; column 4, lines 50-53; claims (cited in the application) P,X EP,A,0441468 (SCHERING AG) 14 August 1-19 1991, see column 10, line 2 - column 11, line 9; column 15, lines 1-10; claims P.X EP,A,0458745 (SINTETICA) 27 November 1 - 191991, see claims 1,8 Special categories of cited documents: 10 "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance earlier document but published on or after the international filing date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to "I." document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled *****0* document referring to an oral disclosure, use, exhibition or document published prior to the international filling date but later than the priority date claimed in the art. "A" document member of the same patent family IV. CERTIFICATION Date of the Actual Completion of the International Search Date of Mailing of this International Search Report 1 0. 07. 92 26-05-1992 International Searching Authority **EUROPEAN PATENT OFFICE**

International Application ... Page 2 PCT/EP 92/00715

| | | /EP 92/00715 |
|--------------|---|----------------------|
| III. DOCUMEN | TS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET) | 2.1 |
| Category ° | Citation of Document, with indication, where appropriate, of the relevant passages | Relevant to Claim No |
| | eru rala Campan Falla Diamin & Diological | 1-19 |
| Y | STN File Server, File Biosis, & Biological Abstract, vol. 79, (Philadelphia, PA, US), R.L. JULIANO et al.: "Interactions of polymerized phospholipid vesicles with cells uptake processing and toxicity in macrophages, see abstract no. 83225, & BIOCHIM. BIOPHYS. ACTA 812 (1). 1985. 42-48, see the abstract | 1-13 |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | • | |
| | | |
| | | |
| | | |
| | | |

INTERNATIONAL SEARCH REPORT

International application No.

+_(/EP 92/00715

| Box I | Observations where certain claims wen | e found unsearchable (C | ontinuation of item 1 o | n urst sheet) | | |
|-------------|---|--|---|-----------------------|-----------------|-----|
| This in | ernational search report has not been establis | shed in respect of certain o | laims under Article 17(2) | (a) for the folio | wing reasons: | |
| | | - | | | | |
| ı. X | Claims Nos.: 1 - 9 because they relate to subject matter not re | equired to be searched by t | his Authority, namely: | | | |
| PRO | VIEW OF THE LARGE NUMBER OF TEINACEOUS" OR "AMPHIPHILIC SONS TO THE COMPOUNDS MENTI PART 6 CHAP. 11.7, LAST Claims Nos.: because they relate to parts of the internation | C" OF CLAIM 1 TH CONED IN THE CLA SENTENCE AND CH | E SEARCH WAS LI IMS AND THE SEA AP. 11,3.7) | MITED FOR RCH (GUI | DELINES | |
| | an excent that no meaningful international a | search can be carried out, | specifically: | toed Ledaneme | | |
| | | | | | | |
| 3. [| Cizims Nos.: because they are dependent cizims and are t | not drafted in accordance | with the second and third | .sentences of R | ule 6.4(a). | |
| | • | | | | | |
| Box II | Observations where unity of invention i | is lacking (Continuation | of item 2 of first sheet | ·) | <u> </u> | |
| This In | ternational Searching Authority found multip | ele inventions in this intern | ational application, as fol | lows: | | |
| | | | | , | | |
| | | | | • | | |
| | | | | | | · |
| | | | | | | • |
| | • | | | | | |
| _ | | | | | . | |
| ٠ ــــ | As all required additional search fees were to searchable claims. | timely paid by the applica | it, this international searc | h report cover | s ell | |
| | | | | | | |
| ٦. | As all searchable claims could be searches | without effort justifying ar | additional fee, this Auth | ority did not in | vite payment | ٠ |
| <u> </u> | of any additional fee. | | • | • | | |
| | • | | | | | • • |
| _ | 1 | | , | | | |
| ₃. ∟ | As only some of the required additional set covers only those claims for which fees we | arch fees were timely paid re paid, specifically claims | by the applicant, this into Nos.: | rnational searc | h report | |
| | | • • • | | | | |
| | ÷ | • | | | | |
| | | | | | | |
| | | | | | | , |
| . [| No required additional search fees were tim | nely paid by the applicant. | Consequently, this intern | ational search | report is | |
| _ | restricted to the invention first mentioned i | in the claims; it is covered | by cisims Nos.: | | | |
| | • | | • | | | |
| | | | | | | |
| | | | • | | | |
| | | | | | | |
| Romer | k en Protest | The additional se | arch fees were accompan | ied by the appli | cant's protest. | |
| | • | No protest accor | npanied the payment of s | dditional searci | h foes. | |
| | | | • • | | | |
| | , | • | | | | |

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

EP 9200715

57649 SA

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 01/07/92. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

| Patent document cited in search report | Publication date | Paten men | Publication date | |
|---|------------------|--|--|--|
| WO-A- 9204392 | 19-03-92 | AU-A- | 8525691 | 30-03-92 |
| EP-A- 0327490 | 09-08-89 | DE-C- DE-A- AU-A- WO-A- EP-A- JP-T- | 3803971 3803972 3035189 8906978 0398935 3503634 | 07-09-89 10-08-89 25-08-89 10-08-89 28-11-90 15-08-91 |
| EP-A- 0441468 | 14-08-91 | DE-A- AU-A- | 4004430 7098291 | 14-08-91 17-10-91 |
| EP-A- 0458745 | 27-11-91 | AU-A- CN-A- | 7614491 1056634 | 21-11-91 04-12-91 |